Christchurch City Council
PRK_3555_BLDG_014 EQ2
Stoddart Point Reserve Garage
1J Waipapa Avenue, Diamond Harbour

QUALITATIVE ASSESSMENT REPORT

FINAL

- Rev B
- 23 May 2013

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Contents

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Approval

	Signature	Date	Name	Title
Author		23/05/2013	Nigel Chan	Structural Engineer
Approver		23/05/2013	Nick Calvert	Senior Structural Engineer

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1. Executive Summary

1.1. Background

A Qualitative Assessment was carried out on the building located at 1 J Waipapa Avenue. The building located on this site is a single storey masonry garage, used to house maintenance equipment for the reserve. An aerial photograph illustrating these areas is shown below in Figure 1. Detailed descriptions outlining the buildings age and construction type are given in Section 5 of this report.

- **Figure 1 Aerial Photograph of Stoddart Point Reserve Garage**

The qualitative assessment includes a summary of the building damage as well as an initial assessment of the current seismic capacity compared with current seismic code loads using the Initial Evaluation Procedure (IEP).

This Qualitative report for the building structure is based on the Detailed Engineering Evaluation Procedure document (draft) issued by the Structural Advisory Group on 19 July 2011, and visual inspections on 6th August 2012.

1.2. Key Damage Observed

Key damage observed includes:-

- No external or internal damage was observed during our site inspection

1.3. Critical Structural Weaknesses

No potential critical structural weaknesses were identified for this building.

1.4. Indicative Building Strength (from IEP and CSW assessment)

Based on the information available, and using the NZSEE Initial Evaluation Procedure, the buildings original capacity has been assessed to be in the order of 100%NBS and post-earthquake capacity in the order of 100%NBS. This assessment has been made without structural drawings and is accordingly limited.

The building has been assessed to have a seismic capacity in the order of 100% NBS and is therefore not potentially earthquake prone.

1.5. Recommendations

It is recommended that:

a) There is no damage to the building that would cause it to be unsafe to occupy.

b) We consider that barriers around the building are not necessary.

2. Introduction

Sinclair Knight Merz was engaged by Christchurch City Council to prepare a qualitative assessment report for the building located at 1J Waipapa Avenue following the magnitude 6.3 earthquake which occurred in the afternoon of the 22nd of February 2011 and the subsequent aftershocks.

The Qualitative Assessment uses the methodology recommended in the Engineering Advisory Group document "Guidance on Detailed Engineering Evaluation of Earthquake affected Non-residential Buildings in Canterbury" (part 2 revision 5 dated 19/07/2011 and part 3 draft revision dated 13/12/2011). The qualitative assessment includes a summary of the building damage as well as an initial assessment of the likely current Seismic Capacity compared with current seismic code requirements.

A qualitative assessment involves inspections of the building and a desktop review of existing structural and geotechnical information, including existing drawings and calculations, if available.

The purpose of the assessment is to determine the likely building performance and damage patterns, to identify any potential critical structural weaknesses or collapse hazards, and to make an initial assessment of the likely building strength in terms of percentage of new building standard (%NBS).

This report describes the structural damage observed during our inspection and indicates suggested remediation measures. The inspection was undertaken from floor levels and was a visual inspection only. Our report reflects the situation at the time of the inspection and does not take account of changes caused by any events following our inspection. A full description of the basis on which we have undertaken our visual inspection is set out in section 7.

The NZ Society for Earthquake Engineering (NZSEE) Initial Evaluation Procedure (IEP) was used to assess the likely performance of the building in a seismic event relative to the New Building Standard (NBS). 100% NBS is equivalent to the strength of a building that fully complies with current codes. This includes a recent increase of the Christchurch seismic hazard factor from 0.22 to 0.3[1].

At the time of this report, no intrusive site investigation, detailed analysis, or modelling of the building structure had been carried out. Construction drawings were not made available. The building description below is based on our visual inspections.

[1] http://www.dbh.govt.nz/seismicity-info

3. Compliance

This section contains a summary of the requirements of the various statutes and authorities that control activities in relation to buildings in Christchurch at present.

3.1. Canterbury Earthquake Recovery Authority (CERA)

CERA was established on 28 March 2011 to take control of the recovery of Christchurch using powers established by the Canterbury Earthquake Recovery Act enacted on 18 April 2011. This act gives the Chief Executive Officer of CERA wide powers in relation to building safety, demolition and repair. Two relevant sections are:

Section 38 – Works

This section outlines a process in which the chief executive can give notice that a building is to be demolished and if the owner does not carry out the demolition, the chief executive can commission the demolition and recover the costs from the owner or by placing a charge on the owners' land.

Section 51 – Requiring Structural Survey

This section enables the chief executive to require a building owner, insurer or mortgagee carry out a full structural survey before the building is re-occupied.

We understand that CERA will require a detailed engineering evaluation to be carried out for all buildings (other than those exempt from the Earthquake Prone Building definition in the Building Act). It is anticipated that CERA will adopt the Detailed Engineering Evaluation Procedure document (draft) issued by the Structural Advisory Group on 19 July 2011. This document sets out a methodology for both qualitative and quantitative assessments.

The qualitative assessment is a desk-top and site inspection assessment. It is based on a thorough visual inspection of the building coupled with a review of available documentation such as drawings and specifications. The quantitative assessment involves analytical calculation of the buildings strength and may require non-destructive or destructive material testing, geotechnical testing and intrusive investigation.

It is anticipated that factors determining the extent of evaluation and strengthening level required will include:

- The importance level and occupancy of the building
- The placard status and amount of damage
- The age and structural type of the building
- Consideration of any critical structural weaknesses

- The extent of any earthquake damage

3.2. Building Act

Several sections of the Building Act are relevant when considering structural requirements:

3.2.1. Section 112 – Alterations

This section requires that an existing building complies with the relevant sections of the Building Code to at least the extent that it did prior to any alteration. This effectively means that a building cannot be weakened as a result of an alteration (including partial demolition).

3.2.2. Section 115 – Change of Use

This section requires that the territorial authority (in this case Christchurch City Council (CCC)) be satisfied that the building with a new use complies with the relevant sections of the Building Code 'as near as is reasonably practicable'. Regarding seismic capacity 'as near as reasonably practicable' has previously been interpreted by CCC as achieving a minimum of 67%NBS however where practical achieving 100%NBS is desirable. The New Zealand Society for Earthquake Engineering (NZSEE) recommend a minimum of 67%NBS.

3.2.3. Section 121 – Dangerous Buildings

The definition of dangerous building in the Act was extended by the Canterbury Earthquake (Building Act) Order 2010, and it now defines a building as dangerous if:

- in the ordinary course of events (excluding the occurrence of an earthquake), the building is likely to cause injury or death or damage to other property; or
- in the event of fire, injury or death to any persons in the building or on other property is likely because of fire hazard or the occupancy of the building; or
- there is a risk that the building could collapse or otherwise cause injury or death as a result of earthquake shaking that is less than a 'moderate earthquake' (refer to Section 122 below); or
- there is a risk that that other property could collapse or otherwise cause injury or death; or
- a territorial authority has not been able to undertake an inspection to determine whether the building is dangerous.

3.2.4. Section 122 – Earthquake Prone Buildings

This section defines a building as earthquake prone if its ultimate capacity would be exceeded in a 'moderate earthquake' and it would be likely to collapse causing injury or death, or damage to other property. A moderate earthquake is defined by the building regulations as one that would generate ground shaking 33% of the shaking used to design an equivalent new building.

3.2.5. Section 124 – Powers of Territorial Authorities

This section gives the territorial authority the power to require strengthening work within specified timeframes or to close and prevent occupancy to any building defined as dangerous or earthquake prone.

3.2.6. Section 131 – Earthquake Prone Building Policy

This section requires the territorial authority to adopt a specific policy for earthquake prone, dangerous and insanitary buildings.

3.3. Christchurch City Council Policy

Christchurch City Council adopted their Earthquake Prone, Dangerous and Insanitary Building Policy in 2006. This policy was amended immediately following the Darfield Earthquake of the 4th September 2010.

The 2010 amendment includes the following:

- A process for identifying, categorising and prioritising Earthquake Prone Buildings, commencing on 1 July 2012;
- A strengthening target level of 67% of a new building for buildings that are Earthquake Prone. Council recognises that it may not be practicable for some repairs to meet that target. The council will work closely with building owners to achieve sensible, safe outcomes;
- A timeframe of 15-30 years for Earthquake Prone Buildings to be strengthened; and,
- Repair works for buildings damaged by earthquakes will be required to comply with the above.

The council has stated their willingness to consider retrofit proposals on a case by case basis, considering the economic impact of such a retrofit.

We anticipate that any building with a capacity of less than 34%NBS (including consideration of critical structural weaknesses) will need to be strengthened to a target of 67%NBS of new building standard as recommended by the Policy.

If strengthening works are undertaken, a building consent will be required. A requirement of the consent will require upgrade of the building to comply 'as near as is reasonably practicable' with:

- The accessibility requirements of the Building Code.
- The fire requirements of the Building Code. This is likely to require a fire report to be submitted with the building consent application.

3.4. Building Code

The building code outlines performance standards for buildings and the Building Act requires that all new buildings comply with this code. Compliance Documents published by The Department of Building and Housing can be used to demonstrate compliance with the Building Code.

After the February Earthquake, on 19 May 2011, Compliance Document B1: Structure was amended to include increased seismic design requirements for Canterbury as follows:

a) Hazard Factor increased from 0.22 to 0.3 (36% increase in the basic seismic design load)
b) Serviceability Return Period Factor increased from 0.25 to 0.33 (80% increase in the serviceability design loads when combined with the Hazard Factor increase)

The increase in the above factors has resulted in a reduction in the level of compliance of an existing building relative to a new building despite the capacity of the existing building not changing.

4. Earthquake Resistance Standards

For this assessment, the building's earthquake resistance is compared with the current New Zealand Building Code requirements for a new building constructed on the site. This is expressed as a percentage of new building standard (%NBS). The new building standard load requirements have been determined in accordance with the current earthquake loading standard (NZS 1170.5:2004 Structural design actions - Earthquake actions - New Zealand).

The likely capacity of this building has been derived in accordance with the New Zealand Society for Earthquake Engineering (NZSEE) guidelines 'Assessment and Improvement of the Structural Performance of Buildings in Earthquakes' (AISPBE), 2006. These guidelines provide an Initial Evaluation Procedure that assesses a buildings capacity based on a comparison of loading codes from when the building was designed and currently. It is a quick high-level procedure that can be used when undertaking a Qualitative analysis of a building. The guidelines also provide guidance on calculating a modified Ultimate Limit State capacity of the building which is much more accurate and can be used when undertaking a Quantitative analysis.

The New Zealand Society for Earthquake Engineering has proposed a way for classifying earthquake risk for existing buildings in terms of %NBS and this is shown in Figure 2 below.

Description	Grade	Risk	%NBS	Existing Building Structural Performance	Improvement of Structural Performance	
					Legal Requirement	NZSEE Recommendation
Low Risk Building	A or B	Low	Above 67	Acceptable (improvement may be desirable)	The Building Act sets no required level of structural improvement (unless change in use) This is for each TA to decide. Improvement is not limited to 34%NBS.	100%NBS desirable. Improvement should achieve at least 67%NBS
Moderate Risk Building	B or C	Moderate	34 to 66	Acceptable legally. Improvement recommended		Not recommended. Acceptable only in exceptional circumstances
High Risk Building	D or E	High	33 or lower	Unacceptable (Improvement	Unacceptable	Unacceptable

- **Figure 2: NZSEE Risk Classifications Extracted from table 2.2 of the NZSEE 2006 AISPBE Guidelines**

Table 1 below provides an indication of the risk of failure for an existing building with a given percentage NBS, relative to the risk of failure for a new building that has been designed to meet current Building Code criteria (the annual probability of exceedance specified by current earthquake design standards for a building of 'normal' importance is 1/500, or 0.2% in the next year, which is equivalent to 10% probability of exceedance in the next 50 years).

- **Table 1: %NBS compared to relative risk of failure**

Percentage of New Building Standard (%NBS)	Relative Risk (Approximate)
>100	<1 time
80-100	1-2 times
67-80	2-5 times
33-67	5-10 times
20-33	10-25 times
<20	>25 times

5. Building Details

5.1. Building description

The building PRK_3555_BLDG_014 EQ2 is located at Stoddart Point Reserve at 1J Waipapa Avenue, Diamond Harbour. The building is a small single storey masonry garage. The roof is timber framed clad with corrugated metal

Our evaluation is based on visual inspections carried out on 6th August 2012. No drawings of the building were available. Based on the construction of the building we have assumed it was built in the 1980's therefore we have taken a design period of 1976-1992, for the purposes of the IEP.

5.2. Gravity Load Resisting system

The gravity load resisting structure of the building is made up of masonry walls supported on the concrete slab on grade foundation. The concrete slab on grade creates the ground floor area.

5.3. Seismic Load Resisting system

For the purposes of this report the longitudinal direction of the building is defined as being the north-south direction and the transverse direction is defined as being in the east-west direction.

Lateral load on the building are carried by the masonry walls through shear action. This load is then transferred to the concrete foundation

5.4. Geotechnical Conditions

Geotechnical assumptions were assumed for this site, these include.

- The site has been assessed as NZS1170.5 Class C (shallow soil) from surface geology.
- It is expected that the ultimate bearing capacity of a shallow pad footing on this site will be in the region of 220 kPa. We estimate a conservative allowable bearing capacity to be in the order of 110 kPa. However, these may be revised by a site specific investigation.
- Due to the presence of mainly basaltic lava flows and as the site is located near the top of a hill, the area would not be susceptible to liquefaction.

6. Damage Summary

SKM undertook inspections on 6th August 2012. The following areas of damage were observed during the time of inspection:

1) No external or internal damage was observed during our site inspection.
2) No visual evidence of settlement was noted at this site. Therefore a level survey is not required at this stage of assessment.

7. Initial Seismic Evaluation

7.1. The Initial Evaluation Procedure Process

This section covers the initial seismic evaluation of the building as detailed in the NZSEE 'Assessment and Improvement of the Structural Performance of Buildings in Earthquakes'. The IEP grades buildings according to their likely performance in a seismic event. The procedure is not yet recognised by the NZ Building Code but is widely used and recognised by the Christchurch City Council as the preferred method for preliminary seismic investigations of buildings[2].

The IEP is a coarse screening process designed to identify buildings that are likely to be earthquake prone. The IEP process ranks buildings according to how well they are likely to perform relative to a new building designed to current earthquake standards, as shown in Table 2. The building grade is indicated by the percent of the required New Building Standard (%NBS) strength that the building is considered to have. A building is earthquake prone for the purposes of this Act if, having regard to its condition and to the ground on which it is built, and because of its construction, the building—

a) will have its ultimate capacity exceeded in a moderate earthquake (as defined in the regulations); and
b) would be likely to collapse causing—
    i. injury or death to persons in the building or to persons on any other property; or
    ii. damage to any other property.

A moderate earthquake is defined as 'in relation to a building, an earthquake that would generate shaking at the site of the building that is of the same duration as, but that is one-third as strong as, the earthquake shaking (determined by normal measures of acceleration, velocity and displacement) that would be used to design a new building at the site.'

An earthquake prone building will have an increased risk that its strength will be exceeded due to earthquake actions of approximately 10 times (or more) than that of a building having a capacity in excess of 100% NBS (refer Table 1)[3]. Buildings in Christchurch City that are identified as being earthquake prone are required by law to be followed up with a detailed assessment and strengthening work within 30 years of the owner being notified that the building is potentially earthquake prone[4].

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[2] http://resources.ccc.govt.nz/files/EarthquakeProneDangerousAndInsanitaryBuildingsPolicy2010.pdf
[3] NZSEE June 2006, *Assessment and Improvement of the Structural Performance of Buildings in Earthquakes*, p 2-13
[4] http://resources.ccc.govt.nz/files/EarthquakeProneDangerousAndInsanitaryBuildingsPolicy2010.pdf

Table 2: IEP Risk classifications

Description	Grade	Risk	%NBS	Structural performance
Low risk building	A+	Low	> 100	Acceptable. Improvement may be desirable.
	A		100 to 80	
	B		80 to 67	
Moderate risk building	C	Moderate	67 to 33	Acceptable legally. Improvement recommended.
High risk building	D	High	33 to 20	Unacceptable. Improvement required.
	E		< 20	

The IEP is a simple desktop study that is useful for risk management. No detailed calculations are done and so it relies on an inspection of the building and its plans to identify the structural members and describe the likely performance of the building in a seismic event. A review of the plans is also likely to identify any critical structural weaknesses. The IEP assumes that the building was properly designed and built according to the relevant codes at the time of construction. The IEP method rates buildings based on the code used at the time of construction and some more subjective parameters associated with how the building is detailed and so it is possible that %NBS derived from different engineers may differ.

This assessment describes only the likely seismic Ultimate Limit State (ULS) performance of the building. The ULS is the level of earthquake that can be resisted by the building without collapse or other forms of failure. The IEP does not attempt to estimate Serviceability Limit State (SLS) performance of the building, or the level of earthquake that would start to cause damage to the building[5]. This assessment concentrates on matters relating to life safety as damage to the building is a secondary consideration.

The NZ Building Code describes that the relevant codes for determining %NBS are primarily:

- AS/NZS 1170 Structural Design Actions
- NZS 3101:2006 Concrete Structures Standard
- NZS 3404:1997 Steel Structures Standard
- NZS4230:2004 Design of Reinforced Concrete Masonry Structures
- NZS 3603:1993 Timber Structures Standard
- NZS 3604:2011 Timber Framed Buildings

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[5] NZSEE 2006, *Assessment and Improvement of the Structural Performance of Buildings in Earthquakes*, p2-9

7.2. Design Criteria and Limitations

Following our inspection on the 6th August 2012, SKM carried out a preliminary structural review. The structural review was undertaken using the available information which was as follows:

- SKM site measurements and inspection findings of the building. Please note no intrusive investigations were undertaken.
- Structural drawings were not available

The design criteria used to undertake the assessment include:

- Standard design assumptions for typical office and factory buildings as described in AS/NZS1170.0:2002
  - 50 year design life, which is the default NZ Building Code design life.
  - Structure importance level 1 since the total floor area is <30m^2 and represents structures presenting a low degree of hazard to life and other property.
  - Ductility level of 1 in both directions, based on our assessment and code requirements at the time of design. This represents an elastic structure which is appropriate as we cannot confirm if there is reinforcing in the structure.
  - Site hazard factor, Z = 0.3, NZBC, Clause B1 Structure, Amendment 11 effective from 1 August 2011

This IEP was based on our visual inspection of the building and a review of the available structural drawings. Since it is not a full design and construction review, it has the following limitations:

- It is not likely to pick up on any original design or construction errors (if they exist)
- Other possible issues that could affect the performance of the building such as corrosion and modifications to the building will not be identified
- The IEP deals only with the structural aspects of the building. Other aspects such as building services are not covered.
- The IEP does not involve a detailed analysis or an element by element code compliance check.

7.3. Survey

There was no visible settlement of the structure, nor were there any significant ground movement issues around the building. The combination of these factors means that we do not recommend that any survey be undertaken at this point.

7.4. Critical Structural Weaknesses

No critical structural weaknesses have been identified in this building

7.5. Qualitative Assessment Results

The building has had its capacity assessed using the Initial Evaluation Procedure based on the information available. The buildings capacity expressed as a percentage of new building standard (%NBS) and are in the order of that shown below in Table 3. The capacity is subject to confirmation by a quantitative analysis.

Table 3: Qualitative Assessment Summary

Item	%NBS
Likely Seismic Capacity of Building	>100

Our qualitative assessment found that the building is likely to be classed as a ‘Low Risk Building’ (capacity above 67% of NBS). The full IEP assessment form is detailed in Appendix 2 – IEP Reports.

8. Further Investigation

Due to the likely seismic rating of this building being greater that 67%, and the lack of any structural damage no further investigation is required at this stage of the assessment.

9. Conclusion

A qualitative assessment was carried out on the building PRK_3555_BLDG_014 EQ2 located at 1J Waipapa Avenue, Stoddart Point Reserve. This building has been assessed to have a likely seismic capacity greater than 100%NBS and is therefore a 'low risk building'.

Due to the likely seismic rating of this building and the lack of any structural damage no further investigation is required.

It is recommended that:

a) There is no damage to the building that would cause it to be unsafe to occupy.

b) We consider that barriers around the building are not necessary.

10. Limitation Statement

This report has been prepared on behalf of, and for the exclusive use of, SKM's client, and is subject to, and issued in accordance with, the provisions of the contract between SKM and the Client. It is not possible to make a proper assessment of this report without a clear understanding of the terms of engagement under which it has been prepared, including the scope of the instructions and directions given to, and the assumptions made by, SKM. The report may not address issues which would need to be considered for another party if that party's particular circumstances, requirements and experience were known and, further, may make assumptions about matters of which a third party is not aware. No responsibility or liability to any third party is accepted for any loss or damage whatsoever arising out of the use of or reliance on this report by any third party.

Without limiting any of the above, in the event of any liability, SKM's liability, whether under the law of contract, tort, statute, equity or otherwise, is limited in as set out in the terms of the engagement with the Client.

It is not within SKM's scope or responsibility to identify the presence of asbestos, nor the responsibility of SKM to identify possible sources of asbestos. Therefore for any property pre-dating 1989, the presence of asbestos materials should be considered when costing remedial measures or possible demolition.

There is a risk of further movement and increased cracking due to subsequent aftershocks or settlement.

Should there be any further significant earthquake event, of a magnitude 5 or greater, it will be necessary to conduct a follow-up investigation, as the observations, conclusions and recommendations of this report may no longer apply Earthquake of a lower magnitude may also cause damage, and SKM should be advised immediately if further damage is visible or suspected.

11. Appendix 1 – Photos

	
Photo 1: View of Stoddart Point Reserve Garage	Photo 2: East Elevation
	
Photo 3: North Elevation	Photo 4: West Elevation

Photo 5: South Elevation

Photo 6: Internal view (1)

Photo 7: Internal view (2)

12. Appendix 2 – IEP Reports

Table IEP-1 Initial Evaluation Procedure – Step 1

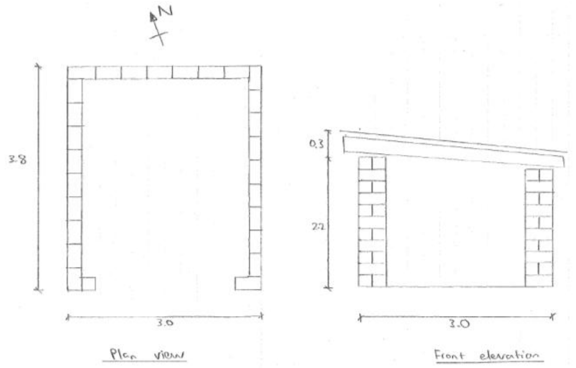
(Refer Table IEP - 2 for Step 2; Table IEP - 3 for Step 3, Table IEP - 4 for Steps 4, 5 and 6)

Building Name:	Stoddart Point Reserve Garage (PRK_3555_BLDG_014 EQ2)	Ref.	ZB01276.132
Location:	1 J Waipapa Avenue, Diamond Harbour	By	NLC
		Date	20/11/2012

Step 1 - General Information

1.1 Photos (attach sufficient to describe building)

1.2 Sketch of building plan



1.3 List relevant features

The garage is a 3m x 3.8m single storey masonry garage shed, with a timber framed roof clad with corrugated metal

1.4 Note information sources

Tick as appropriate

Visual Inspection of Exterior	✓	
Visual Inspection of Interior	✓	
Drawings (note type)		Arch/Strut
Specifications		
Geotechical Reports		
Other (list)		

An interior and external visual inspection was carried out on 6-8-12

Building Name:	Stoddart Point Reserve Garage (PRK_3555_BLDG_014 EQ2)	Ref.	ZB01276.132
Location:	1 J Waipapa Avenue, Diamond Harbour	By	NLC
Direction Considered:	**Longitudinal & Transverse**	Date	20/11/2012

(Choose worse case if clear at start. Complete IEP-2 and IEP-3 for each if in doubt)

Step 2 - Determination of (%NBS)b

2.1 Determine nominal (%NBS) = (%NBS)nom

Pre 1935			○	See also notes 1, 3
1935-1965			○	
1965-1976	Seismic Zone;	A	○	
		B	○	
		C	○	See also note 2
1976-1992	Seismic Zone;	A	○	
		B	◉	
		C	○	
1992-2004			○	

b) Soil Type

From NZS1170.5:2004, Cl 3.1.3

A or B Rock	○
C Shallow Soil	◉
D Soft Soil	○
E Very Soft Soil	○

From NZS4203:1992, Cl 4.6.2.2

(for 1992 to 2004 only and only if known)

a) Rigid	○	N-A
b) Intermediate	○	

c) Estimate Period, T

building Ht =	2.5	meters

	Longitudinal	Transverse	
Ac =			m2

Can use following:

		Longitudinal	Transverse
$T = 0.09h_n^{0.75}$	for moment-resisting concrete frames	○ MRCF	○ MRCF
$T = 0.14h_n^{0.75}$	for moment-resisting steel frames	○ MRSF	○ MRSF
$T = 0.08h_n^{0.75}$	for eccentrically braced steel frames	○ EBSF	○ EBSF
$T = 0.06h_n^{0.75}$	for all other frame structures	○ Others	○ Others
$T = 0.09h_n^{0.75}/A_c^{0.5}$	for concrete shear walls	○ CSW	○ CSW
$T <= 0.4sec$	for masonry shear walls	◉ MSW	◉ MSW

Where hn = height in m from the base of the structure to the uppermost seismic weight or mass.

Ac = ΣAi(0.2 + Lwi/hn)2

Ai = cross-sectional shear area of shear wall i in the first storey of the building, in m2

lwi = length of shear wall i in the first storey in the direction parallel to the applied forces, in m

with the restriction that lwi/hn shall not exceed 0.9

Longitudinal	Transverse	
0.4	0.4	Seconds

d) (%NBS)nom determined from Figure 3.3

Longitudinal	21	$(\%NBS)_{nom}$
Transverse	21	$(\%NBS)_{nom}$

		Factor
Note 1: For buildings designed prior to 1965 and known to be designed as public buildings in accordance with the code of the time, multiply (%NBS)nom by 1.25.	No	1
For buildings designed 1965 - 1976 and known to be designed as public buildings in accordance with the code of the time, multiply (%NBS)nom by 1.33 - Zone A or 1.2 - Zone B	No	1
Note 2: For reinforced concrete buildings designed between 1976 -1984 (%NBS)nom by 1.2	No	1
Note 3: For buildings designed prior to 1935 multiply (%NBS)nom by 0.8 except for Wellington where the factor may be taken as 1.	No	1

Longitudinal	21.0	$(\%NBS)_{nom}$
Transverse	21.0	$(\%NBS)_{nom}$

Continued over page

Building Name:	Stoddart Point Reserve Garage (PRK_3555_BLDG_014 EQ2)	Ref.	ZB01276.132
Location:	1 J Waipapa Avenue, Diamond Harbour	By	NLC
Direction Considered:	**Longitudinal & Transverse**	Date	20/11/2012

(Choose worse case if clear at start. Complete IEP-2 and IEP-3 for each if in doubt)

2.2 Near Fault Scaling Factor, Factor A
If T < 1.5sec, Factor A = 1

a) Near Fault Factor, N(T,D) 1
(from NZS1170.5:2004, Cl 3.1.6)

b) Near Fault Scaling Factor = 1/N(T,D) **Factor A** 1.00

2.3 Hazard Scaling Factor, Factor B

Select Location: Christchurch

a) Hazard Factor, Z, for site
(from NZS1170.5:2004, Table 3.3)

Z = 0.3
Z 1992 = 0.8

Auckland	0.6	Palm Nth	1.2
Wellington	1.2	Dunedin	0.6
Christchurch	0.8	Hamilton	0.67

b) Hazard Scaling Factor
For pre 1992 = 1/Z
\# For 1992 onwards = Z 1992/Z
(Where Z 1992 is the NZS4203:1992 Zone Factor from accompanying Figure 3.5(b))

Factor B 3.33

2.4 Return Period Scaling Factor, Factor C

a) Building Importance Level 1
(from NZS1170.0:2004, Table 3.1 and 3.2)

b) Return Period Scaling Factor from accompanying Table 3.1 **Factor C** 2.00

2.5 Ductility Scaling Factor, D

a) Assessed Ductility of Existing Structure, μ
(shall be less than maximum given in accompanying Table 3.2)

Longitudinal	1	μ Maximum = 6
Transverse	1	μ Maximum = 6

b) Ductility Scaling Factor
For pre 1976 = k_μ
For 1976 onwards = 1
(where k_μ is NZS1170.5:2005 Ductility Factor, from accompanying Table 3.3)

Longitudinal	**Factor D**	1.00
Transverse	**Factor D**	1.00

2.6 Structural Performance Scaling Factor, Factor E

Select Material of Lateral Load Resisting System
Longitudinal: Masonry Block
Transverse: Masonry Block

a) Structural Performance Factor, S_p
from accompanying Figure 3.4

Longitudinal	Sp	1.00
Transverse	Sp	1.00

b) Structural Performance Scaling Factor

Longitudinal	$1/S_p$	**Factor E**	1.00
Transverse	$1/S_p$	**Factor E**	1.00

2.7 Baseline %NBS for Building, $(\%NBS)_b$
(equals $(\%NSB)_{nom}$ x A x B x C x D x E)

Longitudinal	140.0	(%NBS)b
Transverse	140.0	(%NBS)b

Table IEP-3 **Initial Evaluation Procedure – Step 3**

(Refer Table IEP - 1 for Step 1; Table IEP - 2 for Step 2, Table IEP - 4 for Steps 4, 5 and 6)

Building Name:	Stoddart Point Reserve Garage (PRK_3555_BLDG_014 EQ2)	Ref.	ZB01276.132
Location:	1 J Waipapa Avenue, Diamond Harbour	By	NLC
Direction Considered:	**a) Longitudinal**	Date	20/11/2012

(Choose worse case if clear at start. Complete IEP-2 and IEP-3 for each if in doubt)

Step 3 - Assessment of Performance Achievement Ratio (PAR)

(Refer Appendix B - Section B3.2)

Critical Structural Weakness — **Effect on Structural Performance** (Choose a value - Do not interpolate) — **Building Score**

3.1 Plan Irregularity

	Severe	Significant	Insignificant		
Effect on Structural Performance	○	○	◉	**Factor A**	1
Comment					

3.2 Vertical Irregularity

	Severe	Significant	Insignificant		
Effect on Structural Performance	○	○	◉	**Factor B**	1
Comment					

3.3 Short Columns

	Severe	Significant	Insignificant		
Effect on Structural Performance	○	○	◉	**Factor C**	1
Comment					

3.4 Pounding Potential

(Estimate D1 and D2 and set D = the lower of the two, or =1.0 if no potential for pounding)

a) Factor D1: - Pounding Effect
Select appropriate value from Table

Note:
Values given assume the building has a frame structure. For stiff buildings (eg with shear walls), the effect of pounding may be reduced by taking the co-efficient to the right of the value applicable to frame buildings.

Factor D1 1

Table for Selection of Factor D1	Severe	Significant	Insignificant
Separation	0<Sep<.005H	.005<Sep<.01H	Sep>.01H
Alignment of Floors within 20% of Storey Height	○ 0.7	○ 0.8	◉ 1
Alignment of Floors not within 20% of Storey Height	○ 0.4	○ 0.7	○ 0.8

b) Factor D2: - Height Difference Effect
Select appropriate value from Table

Factor D2 1

Table for Selection of Factor D2	Severe	Significant	Insignificant
Separation	0<Sep<.005H	.005<Sep<.01H	Sep>.01H
Height Difference > 4 Storeys	○ 0.4	○ 0.7	◉ 1
Height Difference 2 to 4 Storeys	○ 0.7	○ 0.9	○ 1
Height Difference < 2 Storeys	○ 1	○ 1	○ 1

Factor D 1

(Set D = lesser of D1 and D2 or..
set D = 1.0 if no prospect of pounding)

3.5 Site Characteristics - (Stability, landslide threat, liquefaction etc)

	Severe	Significant	Insignificant		
Effect on Structural Performance	○ 0.5	○ 0.7	◉ 1	**Factor E**	1

3.6 Other Factors

For < 3 storeys - Maximum value 2.5,

otherwise - Maximum value 1.5. No minimum. **Factor F** 1

Record rationale for choice of Factor F:

3.7 Performance Achievement Ratio (PAR)
(equals A x B x C x D x E x F)

PAR 1

Initial Evaluation Procedure – Step 3

(Refer Table IEP - 1 for Step 1; Table IEP - 2 for Step 2, Table IEP - 4 for Steps 4, 5 and 6)

Building Name:	Stoddart Point Reserve Garage (PRK_3555_BLDG_014 EQ2)	Ref.	ZB01276.132
Location:	1 J Waipapa Avenue, Diamond Harbour	By	NLC
Direction Considered:	**b) Transverse**	Date	20/11/2012

(Choose worse case if clear at start. Complete IEP-2 and IEP-3 for each if in doubt)

Step 3 - Assessment of Performance Achievement Ratio (PAR)

(Refer Appendix B - Section B3.2)

Critical Structural Weakness | **Effect on Structural Performance** (Choose a value - Do not interpolate) | **Building Score**

3.1 Plan Irregularity

	Severe	Significant	Insignificant	
Effect on Structural Performance	○	○	◉	**Factor A** 1
Comment				

3.2 Vertical Irregularity

	Severe	Significant	Insignificant	
Effect on Structural Performance	○	○	◉	**Factor B** 1
Comment				

3.3 Short Columns

	Severe	Significant	Insignificant	
Effect on Structural Performance	○	○	◉	**Factor C** 1
Comment				

3.4 Pounding Potential

(Estimate D1 and D2 and set D = the lower of the two, or =1.0 if no potential for pounding)

a) Factor D1: - Pounding Effect

Select appropriate value from Table

Note:
Values given assume the building has a frame structure. For stiff buildings (eg with shear walls), the effect of pounding may be reduced by taking the co-efficient to the right of the value applicable to frame buildings.

Factor D1 1

Table for Selection of Factor D1

Separation	Severe 0<Sep<.005H	Significant .005<Sep<.01H	Insignificant Sep>.01H
Alignment of Floors within 20% of Storey Height	○ 0.7	○ 0.8	◉ 1
Alignment of Floors not within 20% of Storey Height	○ 0.4	○ 0.7	○ 0.8

b) Factor D2: - Height Difference Effect

Select appropriate value from Table

Factor D2 1

Table for Selection of Factor D2

Separation	Severe 0<Sep<.005H	Significant .005<Sep<.01H	Insignificant Sep>.01H
Height Difference > 4 Storeys	○ 0.4	○ 0.7	○ 1
Height Difference 2 to 4 Storeys	○ 0.7	○ 0.9	○ 1
Height Difference < 2 Storeys	○ 1	○ 1	◉ 1

Factor D 1

(Set D = lesser of D1 and D2 or..
set D = 1.0 if no prospect of pounding)

3.5 Site Characteristics - (Stability, landslide threat, liquefaction etc)

	Severe	Significant	Insignificant	
Effect on Structural Performance	○ 0.5	○ 0.7	◉ 1	**Factor E** 1

3.6 Other Factors

For < 3 storeys - Maximum value 2.5,

otherwise - Maximum value 1.5. No minimum. **Factor F** 1

Record rationale for choice of Factor F:

3.7 Performance Achievement Ratio (PAR)
(equals A x B x C x D x E x F) **PAR** 1

Table IEP-4

Initial Evaluation Procedure – Steps 4, 5 and 6

(Refer Table IEP - 1 for Step 1; Table IEP - 2 for Step 2, Table IEP - 3 for Step 3)

Building Name:	Stoddart Point Reserve Garage (PRK_3555_BLDG_014 EQ2)	Ref.	ZB01276.132
Location:	1 J Waipapa Avenue, Diamond Harbour	By	NLC
Direction Considered:	**Longitudinal & Transverse**	Date	20/11/2012

(Choose worse case if clear at start. Complete IEP-2 and IEP-3 for each if in doubt)

Step 4 - Percentage of New Building Standard (%NBS)

	Longitudinal	Transverse
4.1 Assessed Baseline $(\%NBS)_b$ (from Table IEP - 1)	140	140
4.2 Performance Achievement Ratio (PAR) (from Table IEP - 2)	1.00	1.00
4.3 PAR x Baseline $(\%NBS)_b$	140	140

4.4 Percentage New Building Standard (%NBS)
(Use lower of two values from Step 4.3)

140

Step 5 - Potentially Earthquake Prone?
(Mark as appropriate)

%NBS ≤ 33 — NO

Step 6 - Potentially Earthquake Risk?

%NBS < 67 — NO

Step 7 - Provisional Grading for Seismic Risk based on IEP

Seismic Grade — **A+**

Evaluation Confirmed by

Signature

N Calvert — Name

242062 — CPEng. No

Relationship between Seismic Grade and % NBS :

Grade:	A+	A	B	C	D	E
%NBS:	> 100	100 to 80	80 to 67	67 to 33	33 to 20	< 20

13. Appendix 3 – CERA Standardised Report Form

Detailed Engineering Evaluation Summary Data V1.11

Location

Building Name:	Stoddart Point Reserve Garage	Reviewer:	N Calvert
Building Address:	Unit: 1 J; No: ; Street: Waipapa Avenue	CPEng No:	242062
Legal Description:		Company:	Sinclair Knight Merz
		Company project number:	ZB01276.132
		Company phone number:	03 940 4900
GPS south: (Degrees / Min / Sec)		Date of submission:	24-May
GPS east:		Inspection Date:	6/08/2012
		Revision:	B
Building Unique Identifier (CCC):	PRK_3555_BLDG_014	Is there a full report with this summary?	yes

Site

Site slope:	flat	Max retaining height (m):	0
Soil type:	mixed	Soil Profile (if available):	
Site Class (to NZS1170.5):	C		
Proximity to waterway (m, if <100m):		If Ground improvement on site, describe:	
Proximity to clifftop (m, if < 100m):			
Proximity to cliff base (m,if <100m):		Approx site elevation (m):	0.00

Building

No. of storeys above ground:	1	single storey = 1	Ground floor elevation (Absolute) (m):	0.00
Ground floor split?	no		Ground floor elevation above ground (m):	0.00
Storeys below ground	0			
Foundation type:	mat slab		if Foundation type is other, describe:	
Building height (m):	2.50		height from ground to level of uppermost seismic mass (for IEP only) (m):	2.5
Floor footprint area (approx):	12			
Age of Building (years):	30		Date of design:	1976-1992
Strengthening present?	no		If so, when (year)?	
			And what load level (%g)?	
Use (ground floor):	other (specify)		Brief strengthening description:	
Use (upper floors):				
Use notes (if required):	Storage			
Importance level (to NZS1170.5):	IL1			

Gravity Structure

Gravity System:	load bearing walls		
Roof:	timber framed	rafter type, purlin type and cladding	
Floors:	concrete flat slab	slab thickness (mm)	
Beams:			
Columns:			
Walls:	partially filled concrete masonry	thickness (mm)	190

Lateral load resisting structure

Note: Define along and across in detailed report!

Lateral system along:	partially filled CMU		note total length of wall at ground (m):	7.6
Ductility assumed, μ:	1.00		wall thickness (m):	0.19
Period along:	0.40	0.40 from parameters in sheet	estimate or calculation?	estimated
Total deflection (ULS) (mm):	5		estimate or calculation?	estimated
maximum interstorey deflection (ULS) (mm):			estimate or calculation?	
Lateral system across:	partially filled CMU		note total length of wall at ground (m):	3.8
Ductility assumed, μ:	1.00		wall thickness (m):	0.19
Period across:	0.40	0.40 from parameters in sheet	estimate or calculation?	estimated
Total deflection (ULS) (mm):	5		estimate or calculation?	estimated
maximum interstorey deflection (ULS) (mm):			estimate or calculation?	

Separations:

north (mm):		leave blank if not relevant
east (mm):		
south (mm):		
west (mm):		

Non-structural elements

Stairs:			
Wall cladding:	brick or tile	describe (note cavity if exists)	exposed masonry block
Roof Cladding:	Metal	describe	corrugated metal
Glazing:			
Ceilings:			
Services(list):			

Available documentation

Architectural	none	original designer name/date	
Structural	none	original designer name/date	
Mechanical	none	original designer name/date	
Electrical	none	original designer name/date	
Geotech report	none	original designer name/date	

Damage

Site: (refer DEE Table 4-2)

Site performance:		Describe damage:	
Settlement:	none observed	notes (if applicable):	
Differential settlement:	none observed	notes (if applicable):	
Liquefaction:	none apparent	notes (if applicable):	
Lateral Spread:	none apparent	notes (if applicable):	
Differential lateral spread:	none apparent	notes (if applicable):	
Ground cracks:	none apparent	notes (if applicable):	
Damage to area:	none apparent	notes (if applicable):	

Building:

	Current Placard Status:	green		
Along	Damage ratio:	0%	Describe how damage ratio arrived at:	no damage observed
	Describe (summary):			
Across	Damage ratio:	0%		
	Describe (summary):			
Diaphragms	Damage?:	no	Describe:	
CSWs:	Damage?:	no	Describe:	
Pounding:	Damage?:	no	Describe:	
Non-structural:	Damage?:	no	Describe:	

$$Damage\_Ratio = \frac{(\%NBS(before) - \%NBS(after))}{\%NBS(before)}$$

Recommendations

Level of repair/strengthening required:	none	Describe:	no damage observed
Building Consent required:	no	Describe:	
Interim occupancy recommendations:	full occupancy	Describe:	

Along	Assessed %NBS before:	100%	%NBS from IEP below	If IEP not used, please detail assessment methodology:	Qualitative Assessment carried out, this includes the NZSEE IEP - refer to SKM report
	Assessed %NBS after:	100%			
Across	Assessed %NBS before:	100%	%NBS from IEP below		
	Assessed %NBS after:	100%			

14. Appendix 4 – Geotechnical Desktop Study

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Christchurch City Council - Structural Engineering Service

Geotechnical Desk Study

SKM project number	ZB01276
SKM project site number	132
Address	Garage, Stoddart Point Reserve - Waipapa Avenue
Report date	01 August 2012
Author	Ananth Balachandra
Reviewer	Leah Bateman
Approved for issue	Yes

1. Introduction

This report outlines the geotechnical information that Sinclair Knight Merz (SKM) has been able to source from our database and other sources in relation to the property listed above. We understand that this information will be used as part of an initial qualitative Detailed Engineering Evaluation (DEE), and will be supplemented by more detailed information and investigations to allow detailed scoping of the repair or rebuild of the building.

2. Scope

This geotechnical desk top study incorporates information sourced from:

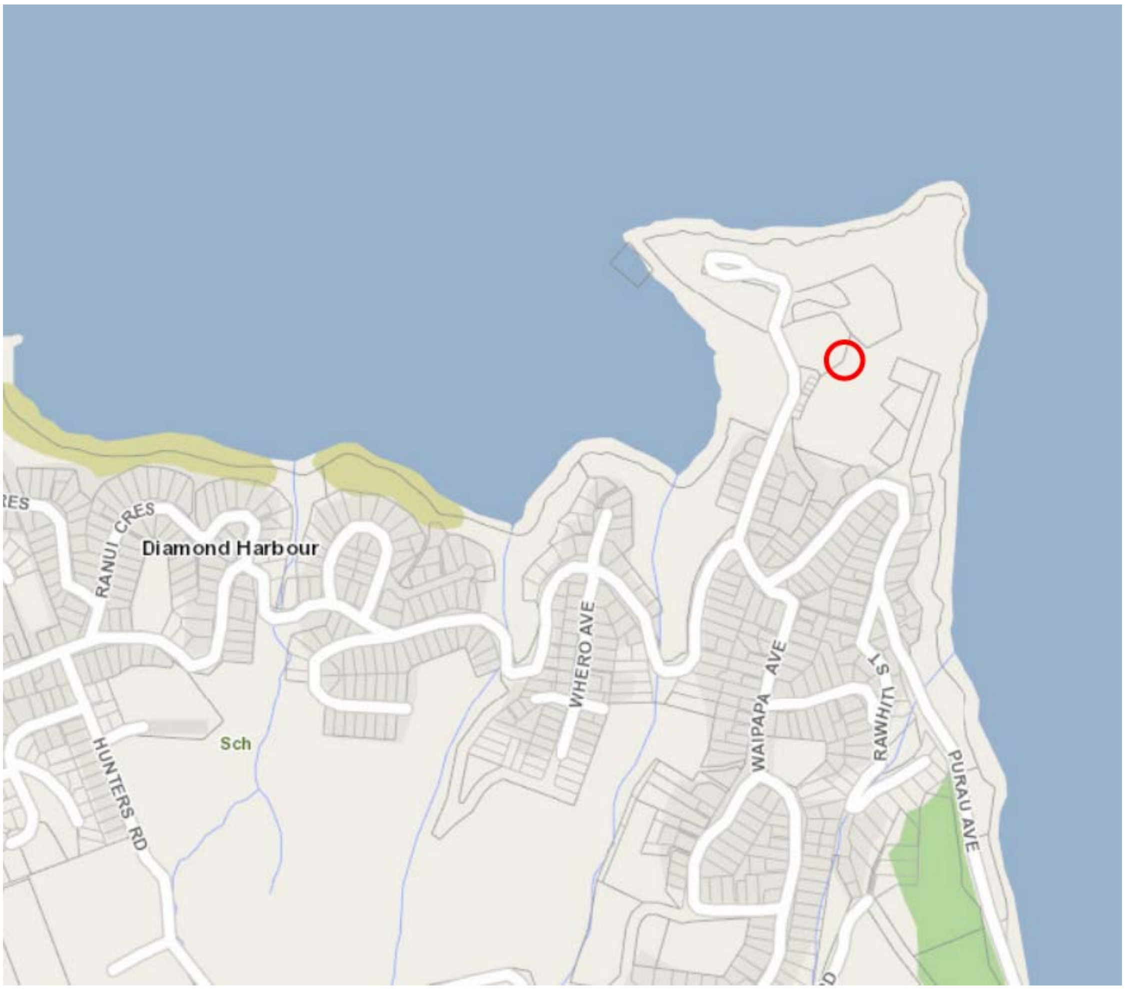
- Published geology
- Publically available borehole records
- Liquefaction records
- Aerial photography
- Council files
- A preliminary site walkover

3. Limitations

This report was prepared to address geotechnical issues relating to the specific site in accordance with the scope of works as defined in the contract between SKM and our Client. This report has been prepared on behalf of, and for the exclusive use of, our Client, and is subject to, and issued in accordance with, the provisions of the contract between SKM and our Client. The findings presented in this report should not be applied to another site or another development within the same site without consulting SKM.

The assessment undertaken by SKM was limited to a desktop review of the data described in this report. SKM has not undertaken any subsurface investigations, measurement or testing of materials from the site. In preparing this report, SKM has relied upon, and presumed accurate, any information (or confirmation of the absence thereof) provided by our Client, and from other sources as described in the report. Except as otherwise stated in this report, SKM has not attempted to verify the accuracy or completeness of any such information.

4. Site location

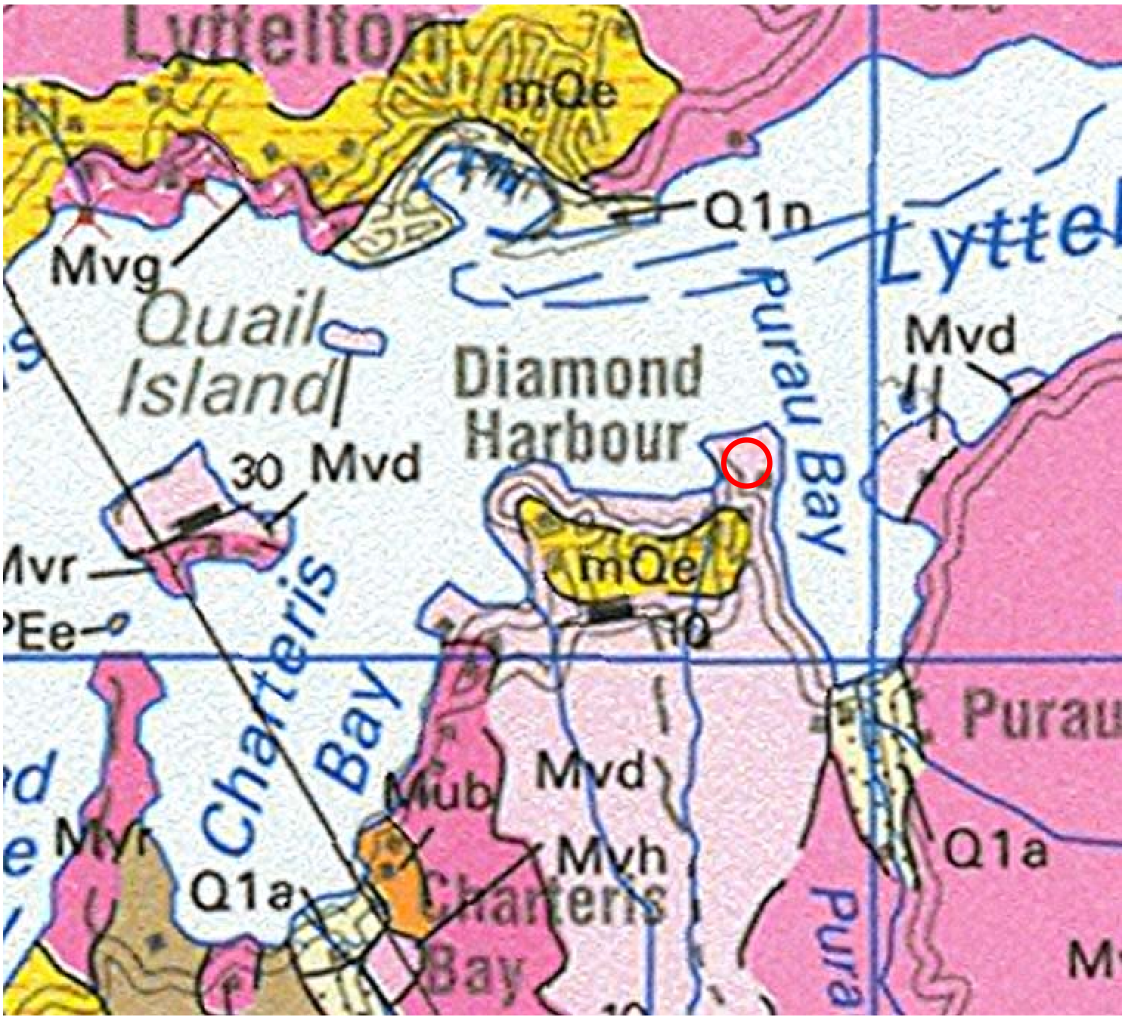


- **Figure 1 – Site location (courtesy of LINZ http://viewers.geospatial.govt.nz)**

The structure is located within Stoddart Point Reserve off Waipapa Avenue at grid reference 1579113 E, 5169681 N (NZTM).

5. Review of available information

5.1 Geological maps



- **Figure 2 – Regional geological map (Forsyth et al, 2008). Site marked in red.**

From the regional geological map, the site is shown to be underlain by basaltic lava flows, dikes, silts, vent plugs and a dome. Additionally, there is minor presence of breccia, conglomerate, sandstone and carbonaceous mudstone.

5.2 Liquefaction map

Following the 22 February 2011 event drive through reconnaissance was undertaken from 23 February until 1 March by M Cubrinovsko and M Taylor of Canterbury University. However, the reconnaissance did not extend to this area.

Due to the presence of mainly basaltic lava flows and as the site is located near the top of a hill, the area would not be susceptible to liquefaction.

5.3 Aerial photography

No aerial photographs of the site after the 22 February 2011 earthquake or other recent earthquakes were available at the time of writing this report.

5.4 CERA classification

A review of the LINZ website (http://viewers.geospatial.govt.nz/) shows that the site is:

- Zone: Green
- DBH Technical Category: N/A (Port Hills and Banks Peninsula)

5.5 Historical land use

Information regarding the historical land use for the site was not available at the time of writing this report.

5.6 Existing ground investigation data

There were no publicly available ground investigation data sufficient near the site.

5.7 Council property files

Council property files were not available for the site at the time of writing this report.

5.8 Site walkover

An external site walkover was conducted by an SKM engineer on 1 August 2012.

The building was noted to be constructed from masonry walls with a timber framed roof and slab on grade foundation. No liquefaction was identified or would be expected from the soils at this location. A brief site walkover of the area identified that the slopes above were covered by loess and vegetation.

No visual evidence of tension cracks or land slips was observed within the site. The nearby Waipapa Avenue appeared to be undamaged.

- **Figure 3 - Overview of the building (southern elevation)**

- **Figure 4 - Playground to the front of the building**

- **Figure 5 - View of the structure from the slope above**

6. Conclusions and recommendations

6.1 Site geology

No geotechnical investigation data was available for this site. However, it is likely that the site is underlain by loess a deposit to shallow depths, which itself is underlain by volcanic deposits.

6.2 Seismic site subsoil class

The site has been assessed as being either Class B (rock) or Class C (shallow soil) as described in NZS1170.5. Further, investigation would be needed to confirm the depth of the surface soil. For quantitative DEE purposes Class C should be used as the seismic site subsoil class. However, future site specific study may result in a revision to the recommended class.

As described in NZS1170, the preferred site classification method is from site periods based on four times the shear wave travel time through material from the surface to the underlying rock. The next preferred methods are from borelogs including measurement of geotechnical properties or by evaluation of site periods from Nakamura ratios or from recorded earthquake motions. Lacking this information, classification may be based on boreholes with descriptors but no geotechnical measurements. The least preferred method is from surface geology and estimates of the depth to underlying rock.

As no borehole information was available near site, the least preferred method of using surface geology to classify the site has been used.

6.3 Building performance

The performance to date suggests that the existing foundations of the structure are adequate for their current purpose.

6.4 Ground performance and properties

The underlying soil strata inferred to comprise mainly loess deposits at shallow depths and volcanic deposits beneath the loess are not susceptible to liquefaction. Additionally, as the site is located on top of a hill, the ground water table is likely to be below the depth of the soil material present at shallow depths.

As loess deposits are expected to be present at shallow depths beneath the site, the following parameters may be used if a quantitative DEE is required for the structure on site.

Parameter	Estimated Value
Friction angle	28
Effective Cohesion	5 kPa
Unit Weight	17 kN / m^3
Ultimate Bearing Capacity[1]	220 kPa

It should be noted that the above parameters should not be used for design or consent purposes without ground investigation undertaken to confirm the recommended values. The above ultimate bearing capacity is based on typical parameters estimated for loess deposits. Therefore, it is possible that site specific study could result in the recommended ultimate bearing capacity being revised.

6.5 Further investigations

No additional geotechnical investigations are required in order to undertake a quantitative DEE for the structure on site. However, if significant alterations or a new structure is proposed on site requiring consent, additional site specific geotechnical investigations would be needed.

7. References

Brown LJ, Weeber JH, 1992. Geology of the Christchurch urban area. Scale 1:25,000. Institute of Geological & Nuclear Sciences geological map 1.

Cubrinovski & Taylor, 2011. Liquefaction map summarising preliminary assessment of liquefaction in urban areas following the 2010 Darfield Earthquake.

Forsyth PJ, Barrell DJA, Jongens R, 2008. Geology of the Christchurch area. Institute of Geological & Nuclear Sciences geological map 16.

Land Information New Zealand (LINZ) geospatial viewer (http://viewers.geospatial.govt.nz/)

EQC Project Orbit geotechnical viewer (https://canterburyrecovery.projectorbit.com/)

[1] Estimated for a 1 m wide strip footing on grade bearing on undisturbed loess deposit.