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Regal Courts Housing Complex Qualitative Engineering Evaluation

Christchurch City Council

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Executive Summary - Blocks A and D

This is a summary of the Qualitative Engineering Evaluation for the Regal Courts Housing Complex building and is based on the Detailed Engineering Evaluation Procedure document issued by the Engineering Advisory Group on 19 July 2011, visual inspections, available structural documentation and summary calculations as appropriate.

Dellalia a Detaile	Name	Daa			: a. O		Diagles A	
Building Details	Name	Regal Courts Housing Complex – Blocks A and						
Building Location ID	BE 1061 E	Q2				Multiple B	uilding Site	Y
Building Address	146 King S	treet				No. of resi	dential units	Block A (4) Block D (4)
Soil Technical Category	TC2		Importance	Level	2	Approxima	ate Year Built	1976
Foot Print (m²)	Blocks A a (196 m		Storeys abo	ve	1	Storeys be	elow ground	0
Type of Construction			supported by ow foundations		ısses, sla	b-on-grade f	or ground floor,	and
Qualitative L4 Report Results Summary								
Building Occupied	Y	Blocks	s A and D are	currently	occupied	l.		
Suitable for Continued Occupancy	Y	s A and D are	suitable for continued use.					
Key Damage Summary	Y	Refer	to summary o	building damage Section 3.1 of the report body.				
Critical Structural Weaknesses (CSW)	N No critical structural weaknesses were identified.							
Levels Survey Results	Y	Y Survey shows floor levels are within DBH guideline limits.						
Building %NBS From Analysis	75%		tudinal Directionations.	on - Limito	ed by tim	ber framed v	valls - Based on	detailed
Qualitative L4 Repo	rt Recom	mend	lations					
Geotechnical Survey Required	N	Geote	chnical survey	y not requ	ired due	to lack of ob	served ground o	lamage on site
Proceed to L5 Quantitative DEE	N A quantitative DEE			is not required for this structure.				
Approval								
Author Signature	Ein Singone		sone.		Approve	r Signature		
Name	Eric Simeo	ne				Name	Luis Castillo	
Title	Senior Structural Engineer					Title	Senior Structur	ral Engineer

Executive Summary - Block B

This is a summary of the Qualitative Engineering Evaluation for the Regal Courts Housing Complex building and is based on the Detailed Engineering Evaluation Procedure document issued by the Engineering Advisory Group on 19 July 2011, visual inspections, available structural documentation and summary calculations as appropriate.

Building Details	Name	Regal Courts Housing Complex – Block B						
Building Location ID	BE 1061 E	Q2				Multiple B	uilding Site	Y
Building Address	146 King S	treet				No. of resi	dential units	Block B (2)
Soil Technical Category	TC2		Importance	Level	2	Approxima	ate Year Built	1976
Foot Print (m²)	Block B (9	8 m²)	Storeys abo	ve	1	Storeys be	elow ground	0
Type of Construction			supported by ow foundations		ısses, sla	b-on-grade f	for ground floor,	and
Qualitative L4 Report Results Summary								
Building Occupied	Y	Y Block B is currently occupied.						
Suitable for Continued Occupancy	Y Blocks B is suitable			for continued use.				
Key Damage Summary	Y Refer to summary of			of building damage Section 3.1 of the report body.				
Critical Structural Weaknesses (CSW)	N No critical structura			ıl weaknesses were identified.				
Levels Survey Results	Y	Surve	y shows floor	levels are	within D	BH guideline	e limits.	
Building %NBS From Analysis	41%	Longit		on- Limited by the timber framed walls - Based on detailed				
Qualitative L4 Repor	rt Recom	mend	lations					
Geotechnical Survey Required	N	Geote	chnical survey	y not required due to lack of observed ground damage on site.			lamage on site.	
Proceed to L5 Quantitative DEE	N A quantitative DEE			is not required for this structure.				
Approval								
Author Signature	Ein Smoone		one.		Approve	r Signature		
Name	Eric Simeo	ne				Name	Luis Castillo	
Title	Senior Structural Engineer					Title	Senior Structur	ral Engineer

Executive Summary - Block C

This is a summary of the Qualitative Engineering Evaluation for the Regal Courts Housing Complex building and is based on the Detailed Engineering Evaluation Procedure document issued by the Engineering Advisory Group on 19 July 2011, visual inspections, available structural documentation and summary calculations as appropriate.

Building Details	Name	Regal Courts Housing Complex – Block C						
Building Location ID	BE 1061 E	Q2				Multiple	e Building Site	Υ
Building Address	146 King S	treet				No. of units	esidential	10
Soil Technical Category	ТС	C2	Importa Level	ince	2	Approx Built	imate Year	1976
Foot Print (m²)	294	m²	Storeys		2	Storeys	s below ground	0
Type of Construction		ed masonry v					crete slab as first f d conventional sha	
Qualitative L4 Report Results Summary								
Building Occupied	Y	Block C is	currently o	occupied				
Suitable for Continued Occupancy	Y	Block C is	suitable fo	or continued use.				
Key Damage Summary	Y Refer to summary of building damage Section 3.1 of the report body.							
Critical Structural Weaknesses (CSW)	N	N No critical structural weaknesses were identified.						
Levels Survey Results	Y	Survey sho	ows floor le	evels are	within DBH	guideline	e limits.	
Building %NBS From Analysis	42%	Longitudina calculations		n – Limit	ed by the tim	nber fram	ed walls -Based o	on detailed
Qualitative L4 Repor	t Recom	mendatio	ons					
Geotechnical Survey Required	N	Geotechnic	cal survey	not requ	ired due to la	ack of ob	served ground da	mage on site.
Proceed to L5 Quantitative DEE	N A quantitative DEE			E is not required for this structure.				
Approval								
Author Signature	Ein Smoone				Approver Si	gnature		
Name	Eric Simeo	ne				Name	Luis Castillo	
Title	Senior Structural Engineer					Title	Senior Structura	Engineer

1 Introduction

1.1 General

On 6 December 2012 Aurecon engineers visited the Regal Courts Housing Complex to undertake a qualitative building damage assessment on behalf of the Christchurch City Council. Detailed visual inspections were carried out to assess the damage caused by the earthquakes on 4 September 2010, 22 February 2011, 13 June 2011, 23 December 2011 and related aftershocks.

The scope of work included:

- Assessment of the nature and extent of the building damage.
- Visual assessment of the building strength particularly with respect to safety of occupants if the building is currently occupied.
- Assessment of requirements for detailed engineering evaluation including geotechnical investigation, level survey and any areas where linings and floor coverings need removal to expose structural damage.

This report outlines the results of our Qualitative Assessment of damage to the Regal Courts Housing Complex and is based on the Detailed Engineering Evaluation Procedure document issued by the Engineering Advisory Group on 19 July 2011, visual inspections, available structural documentation and summary calculations as appropriate.

2 Description of the Buildings

2.1 Building Age and Configuration

The Regal Courts Housing Complex consists of four separate blocks totalling 20 one bedroom units. The four blocks have been identified from A to D (see image on following page) for purpose of identification in this report. It is assumed that the whole complex was constructed around 1976. All four blocks have an exterior brickwork cladding and a monier tile roof on a timber truss roof structure.



2.1.1 Blocks A and D



Blocks A and D are identical one storey buildings each comprising four units.

2.1.2 Block B



Block B has one storey and is of a similar construction as A and D but with only two units.

2.1.3 Block C



Block C has total of 10 units including four units on the second storey with balconies.

2.2 Building Structural Systems Vertical and Horizontal

2.2.1 Blocks A, B and D

Blocks A, B and D are of a similar construction. The roof structure consists of timber trusses bearing on timber framed walls with GIB lining. The ground floor consists of a 150mm reinforced slab-ongrade. The exterior cladding is brickwork while the roofing is made of monier tiles. The building foundations consist of a shallow reinforced concrete perimeter beam.

The horizontal loads are carried in the longitudinal direction by the timber framed walls and in the transverse direction by the reinforced masonry blockwork walls.

2.2.2 Block C

The roof structure for Block C consists of timber trusses bearing on timber framed walls with GIB lining. The first floor is made of stresscrete slabs. This system consists of precast slabs with a cast in place topping which are bearing on the reinforced masonry blockwork walls. The ground floor consists of a 150 mm reinforced slab on grade. The exterior cladding is brickwork while the roofing is made of monier tiles. The building foundation consists of a shallow reinforced concrete perimeter wall footing.

The horizontal loads at the top level are carried in the longitudinal direction by the timber framed walls and in the transverse direction by the reinforced masonry blockwork walls located in the service core area. At the ground level, the lateral loads are carried by the reinforced masonry blockwork walls for both directions on the two storey modules and for the transverse direction on the one story module.

2.3 Building Foundation System and Soil Conditions

The Regal Courts Housing Complex is used for residential purposes. The Ministry of Business, Innovation and Employment (formally the Department of Housing and Building or DHB) does not currently have a technical classification for the land in the immediate vicinity of the Regal Courts Housing Complex, however the area surrounding the building consists primarily of Technical Category 2 (TC2) land. According to Canterbury Earthquake Repair Authority (CERA), TC2 land is considered to "incur minor to moderate land damage from liquefaction".

2.4 Available Structural Documentation and Inspection Priorities

Fully detailed architectural and structural drawings made by Entreprise Homes and dated 1976 were available for all four blocks.

The inspection priorities included the exterior walls, the timber structure of the roof, the structural slab of first floor, the slabs on grade, the brickwork, the interior linings and all the architectural elements in order to identify potential structural weaknesses.

2.5 Available Survey Information

A floor level survey was undertaken for all accessible units to establish the level of unevenness across the floors. The results of the survey are presented in Appendix A. All of the levels were taken on top of the existing floor coverings which may have introduced some margin of error.

The Department of Building and Housing (DBH) published the "Revised Guidance on Repairing and Rebuilding Houses Affected by the Canterbury Earthquake Sequence" in November 2011, which recommends some form of re-levelling or rebuilding of the floor

- 1. If the slope is greater than 0.5% for any two points more than 2m apart, or
- 2. If the variation in level over the floor plan is greater than 50mm, or
- 3. If there is significant cracking of the floor.

It is important to note that these figures are recommendations and are only intended to be applied to residential buildings. However, they provide useful guidance in determining acceptable floor level variations.

The floor levels for the Regal Courts Housing Complex are considered to be acceptable. The tolerance was exceeded in some areas however this was due to floor coverings.

3 Structural Investigation

3.1 Summary of Building Damage

The buildings suffered very limited damage following the Canterbury earthquake sequence, with the overall building conditions remaining almost the same as before the earthquakes. The following observations were made during the site visit on 6 December 2012.

All photographs referenced have been included in Appendix A.

- Some cracks were found in the exterior brickwork of blocks A, B, C, D (photos 1, 2,3 and 6)
- There is cracking in the exterior patio slabs of Block C (photo 6).
- Few cracks were found in the interior GIB lining of block C (photos 7 and 8).
- A floor level survey using the zip level was carried out in each unit on the slab-on-grade and structural slab and has shown that the levels do not exceed DBH guidelines limits (see Appendix A).

3.2 Record of Intrusive Investigation

There was limited damage to the building and therefore, an intrusive investigation was neither warranted nor undertaken for Regal Courts Housing Complex. A metal detector was used on masonry walls to verify the reinforcement.

3.3 Damage Discussion

Minor seismic related damages were noted in the damage assessment. This is not surprising given that the building has concrete wall panels in both directions and there appears to be a good first floor diaphragm.

4 Building Review Summary

4.1 Building Review Statement

As noted above no intrusive investigations were carried out for the Regal Courts Housing Complex. Furthermore, as fully detailed architectural and structural drawings were available, it was not deemed necessary to do so.

4.2 Critical Structural Weaknesses

No specific critical structural weaknesses were identified as part of the building qualitative assessment.

5 Building Strength (Refer to Appendix C for background information)

5.1 General

The Regal Courts Housing Complex consists of four blocks using reinforced concrete, timber and masonry type of construction. With sufficient walls and good detailing, all buildings have performed well in the Canterbury earthquake sequence as evidenced by the limited damage described in Section 3

5.2 %NBS Assessment

5.2.1 Parameters used in the Seismic Assessment

Table 1: Parameters used in the Seismic Assessment

Seismic Parameter	Quantity	Comment/Reference
Site Soil Class	D	NZS 1170.5:2004, Clause 3.1.3, Deep or Soft Soil
Site Hazard Factor, Z	0.30	DBH Info Sheet on Seismicity Changes (Effective 19 May 2011)
Return period Factor, R _u	1.00	NZS 1170.5:2004, Table 3.5, Importance Level 2 Structure with a Design Life of 50 years
	2.0	Timber shear walls (AS 1170.4 – 2007 Table 6.5A).
Ductility Factor , μ	2.0	Unreinforced masonry walls (<u>assessment and improvement of unreinforced masonry buildings for earthquake resistance</u> – clause 4.3.2.4)
	2.0	Wide spaced reinforced masonry (AS 1170.4 – 2007 Table 6.5A).

5.2.2 Lateral load resistance system description

For Blocks A, B, C and D the strength assessment has been based on the lateral load carrying capacity of the GIB lined timber framed walls and the lateral load carrying capacity of the concrete masonry walls for both the principal directions of the buildings. The respective capacities have been compared to the seismic demand to produce a %NBS for buildings in each respective direction.

5.3 Assessment Results

Block	Direction	%NBS	Comments
A/D	Longitudinal	75%	Limited by the GIB Lined timber framed walls
A/D	Transverse	100%	Based on detailed calculations
В	Longitudinal	41%	Limited by the GIB Lined timber framed walls
	Transverse	72%	Limited by the GIB Lined timber framed walls
С	Longitudinal	42%	Limited by the GIB Lined timber framed walls
	Transverse	100%	Based on detailed calculations

5.3.1 Assessment Discussion

The assessment of block A/D is limited by the GIB Lined timber framed walls in the longitudinal direction of the buildings. The lack of available timber lined wall length in the longitudinal direction is due to the presence of windows and doors.

The assessment of block B is limited by the capacity of the GIB Lined timber framed walls in the transverse and longitudinal directions of the buildings. The limited transverse direction capacity is governed by the lack of available timber lined wall length due to the presence of windows and doors

The assessment of block C is limited by the capacity of the GIB Lined timber framed walls in the longitudinal direction of the buildings. The limited longitudinal direction capacity is governed by the lack of available timber lined wall length at the second storey exterior wall locations.

6 Conclusions and Recommendations

Given the good performance of the buildings of Regal Courts Housing Complex in the Canterbury earthquake sequence, the limited foundation damage and the floor levels considered to be within acceptable limits, a geotechnical investigation is currently not considered necessary.

Additionally, the building has suffered no loss of functionality and in our opinion the Regal Courts Housing Complex buildings are considered suitable for continued occupation on the following basis:

- The strength of the building exceeds the minimum of 33% earthquake prone limit.
- There are no critical structural weaknesses.
- There is minimal damage.

7 Explanatory Statement

The inspections of the building discussed in this report have been undertaken to assess structural earthquake damage. No analysis has been undertaken to assess the strength of the building or to determine whether or not it complies with the relevant building codes, except to the extent that Aurecon expressly indicates otherwise in the report. Aurecon has not made any assessment of structural stability or building safety in connection with future aftershocks or earthquakes – which have the potential to damage the building and to jeopardise the safety of those either inside or adjacent to the building, except to the extent that Aurecon expressly indicates otherwise in the report.

This report is necessarily limited by the restricted ability to carry out inspections due to potential structural instabilities/safety considerations, and the time available to carry out such inspections. The report does not address defects that are not reasonably discoverable on visual inspection, including defects in inaccessible places and latent defects. Where site inspections were made, they were restricted to external inspections and, where practicable, limited internal visual inspections.

To carry out the structural review, existing building drawings were obtained (where available) from the Christchurch City Council records. We have assumed that the building has been constructed in accordance with the drawings.

While this report may assist the client in assessing whether the building should be repaired, strengthened, or replaced that decision is the sole responsibility of the client.

This review has been prepared by Aurecon at the request of its client and is exclusively for the client's use. It is not possible to make a proper assessment of this review without a clear understanding of the terms of engagement under which it has been prepared, including the scope of the instructions and directions given to and the assumptions made by Aurecon. The report will not address issues which would need to be considered for another party if that party's particular circumstances, requirements and experience were known and, further, may make assumptions about matters of which a third party is not aware. No responsibility or liability to any third party is accepted for any loss or damage whatsoever arising out of the use of or reliance on this report by any third party.

Without limiting any of the above, Aurecon's liability, whether under the law of contract, tort, statute, equity or otherwise, is limited as set out in the terms of the engagement with the client.

Appendices



Appendix A

Site Map, Photos and Levels survey

6 December 2012 - Regal Courts Housing Complex Site Photographs

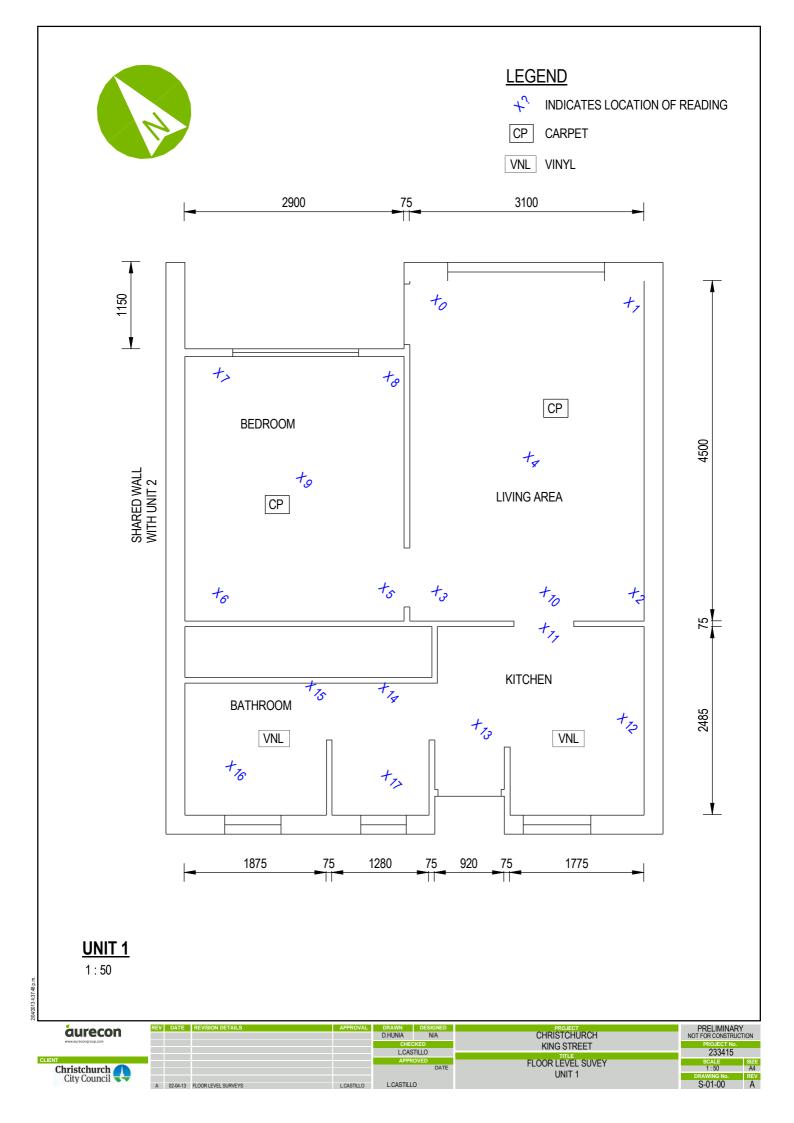


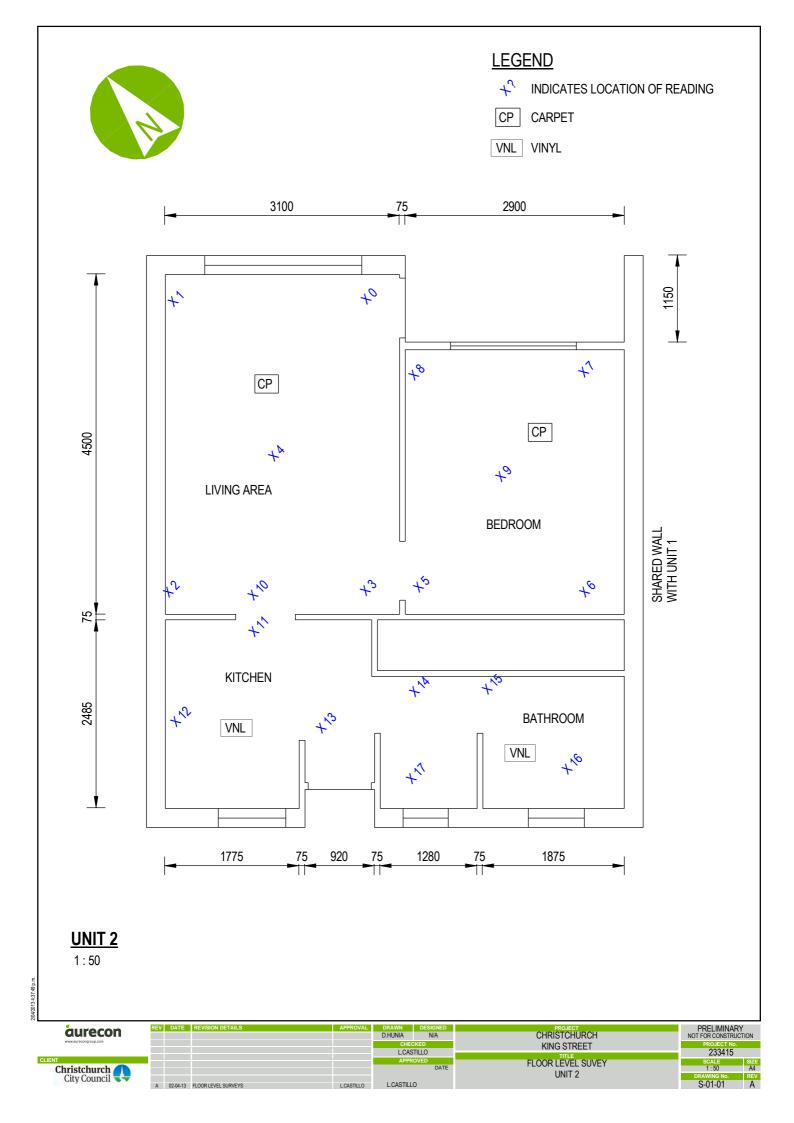


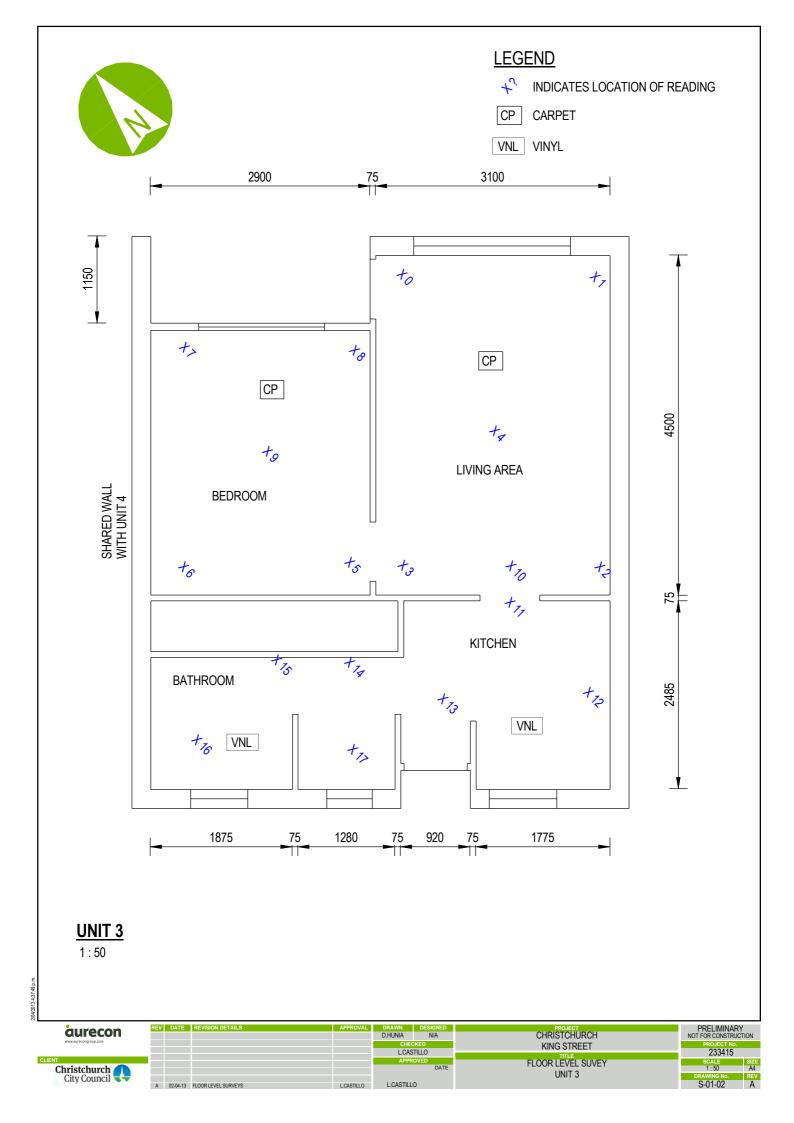
Aerial view showing Regal Courts Housing Complex

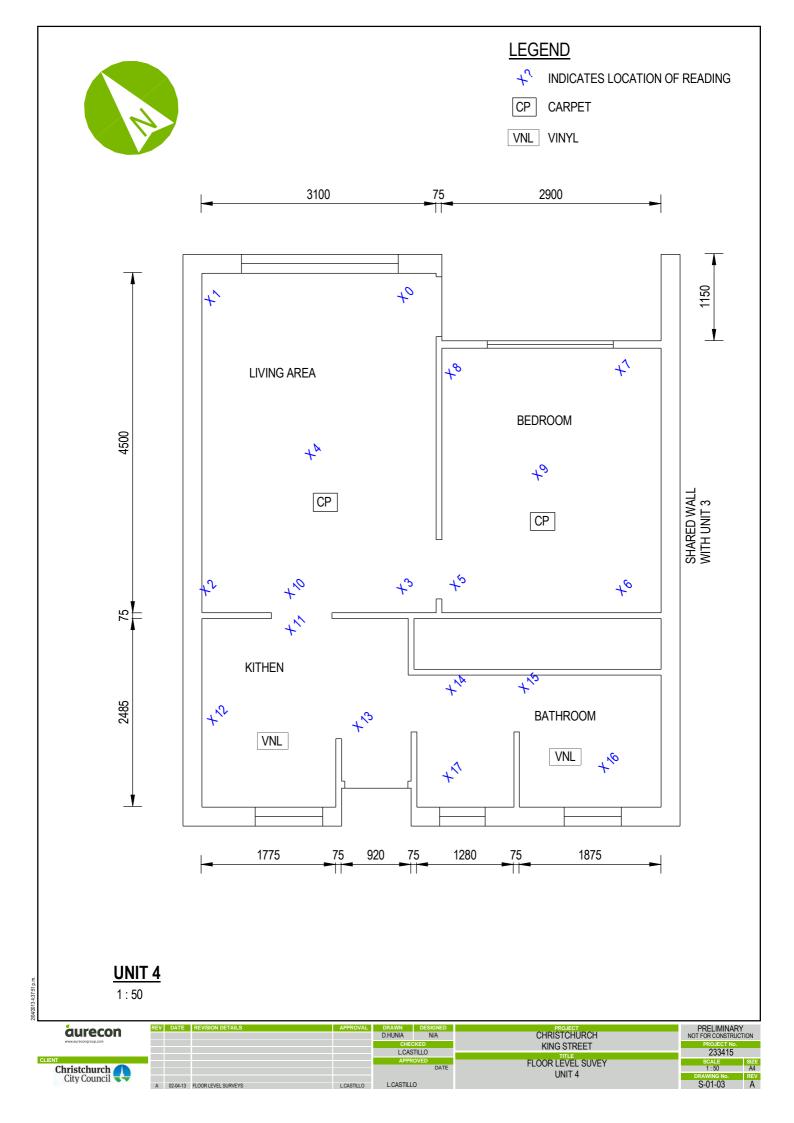
#1.	Cracking in the exterior brickwork of unit 4, Block A at Regal Courts Housing Complex.	
#2.	Cracking in the exterior brickwork of unit 6, Block B at Regal Courts Housing Complex.	
#3.	Cracking in the exterior brickwork of unit 11, Block C at Regal Courts Housing Complex.	
#4.	Cracking in the interior lining inside unit12, Block C.	
#5.	Crack in the exterior patio slab close to unit 13, Block C.	

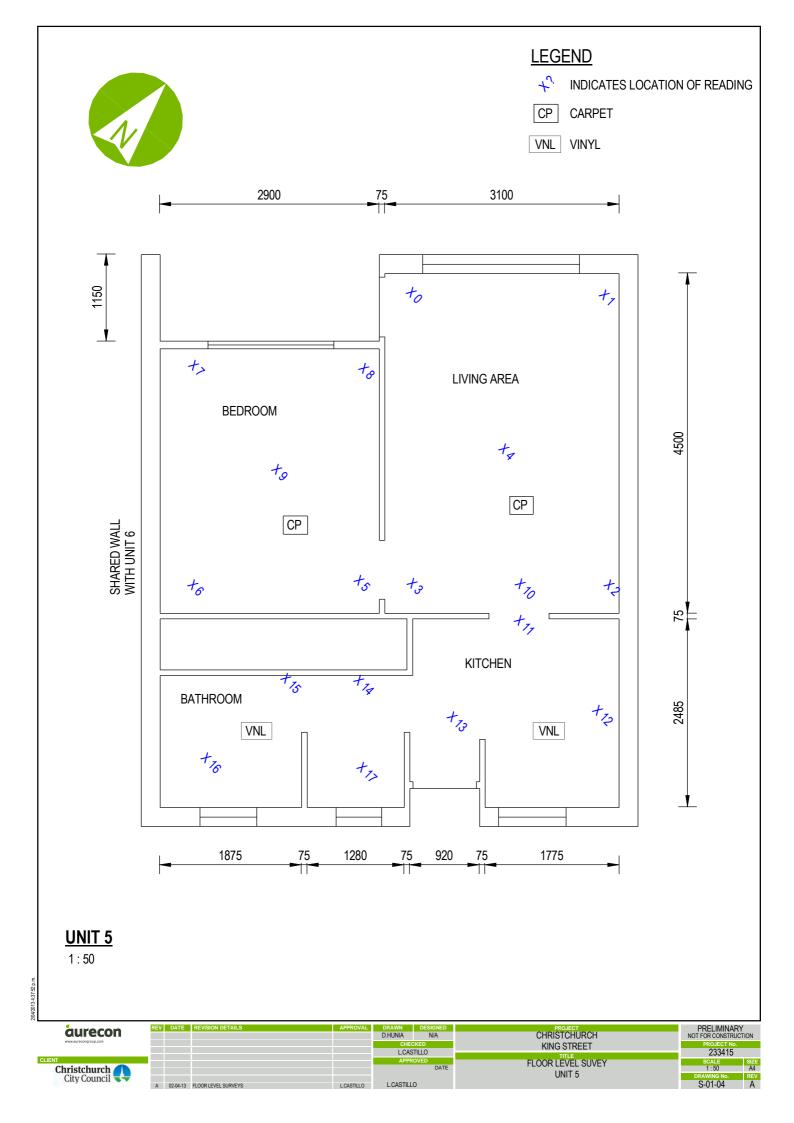
#6.	Cracking in the exterior brickwork of unit 15, Block C at Regal Courts Housing Complex.	
#7.	Cracking in the interior lining inside unit 18, Block C.	
#8.	Cracking in the ceiling lining inside unit 20, Block C.	

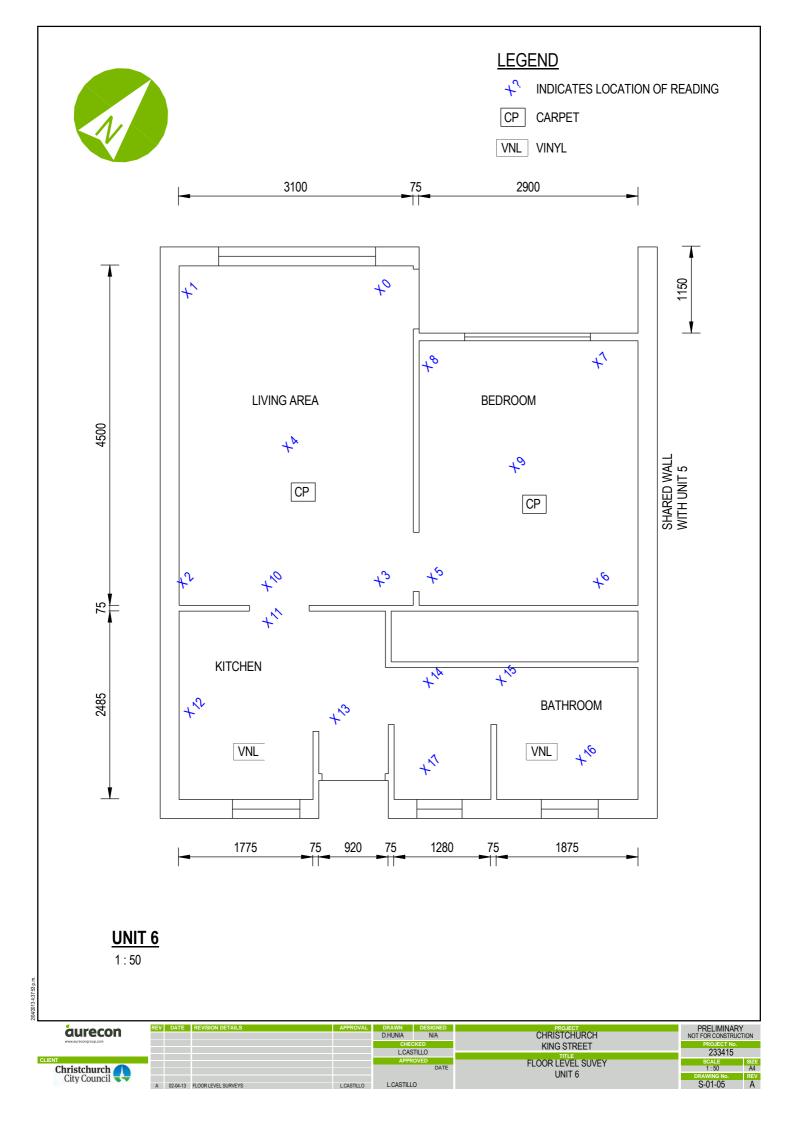


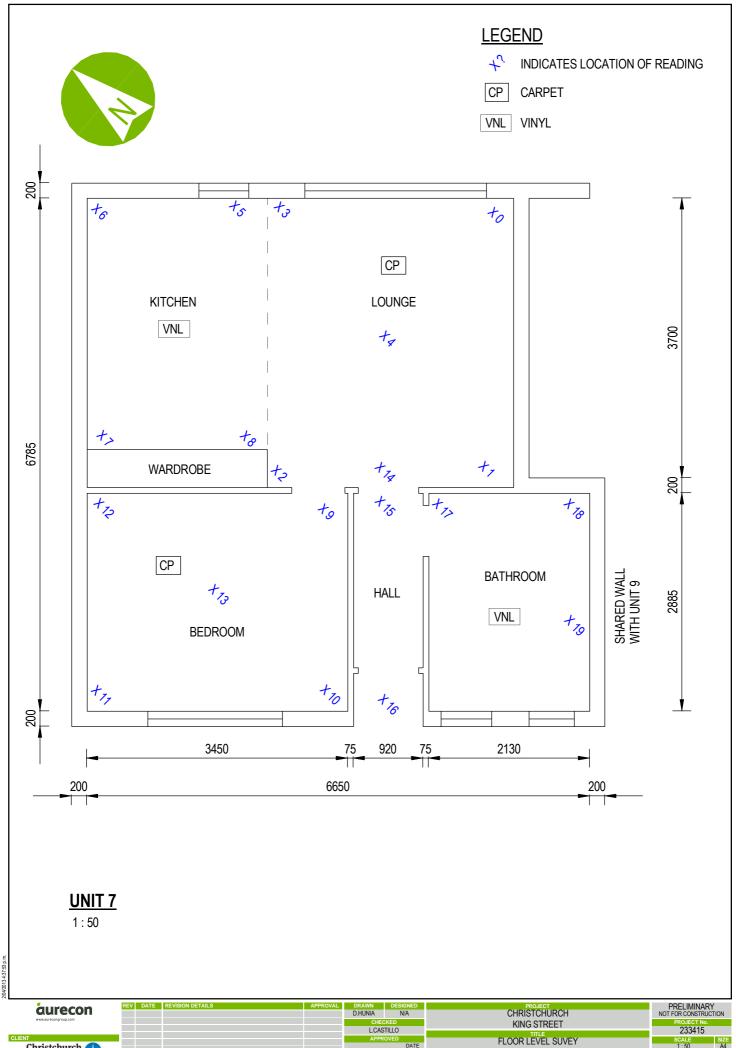










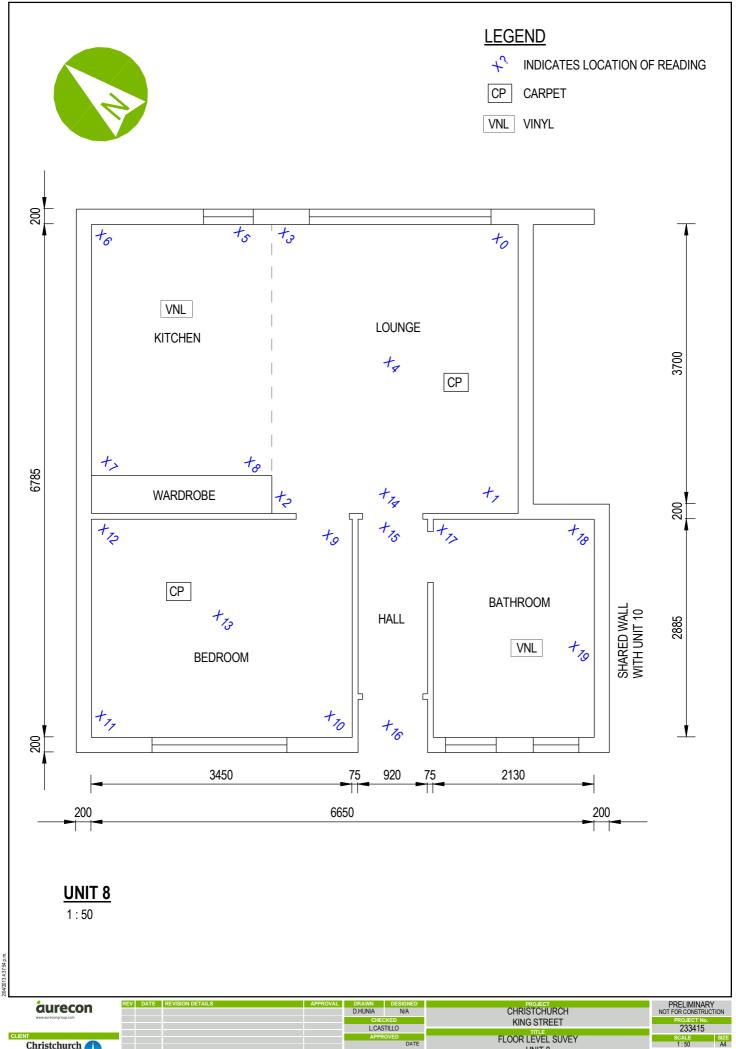


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UNIT 7



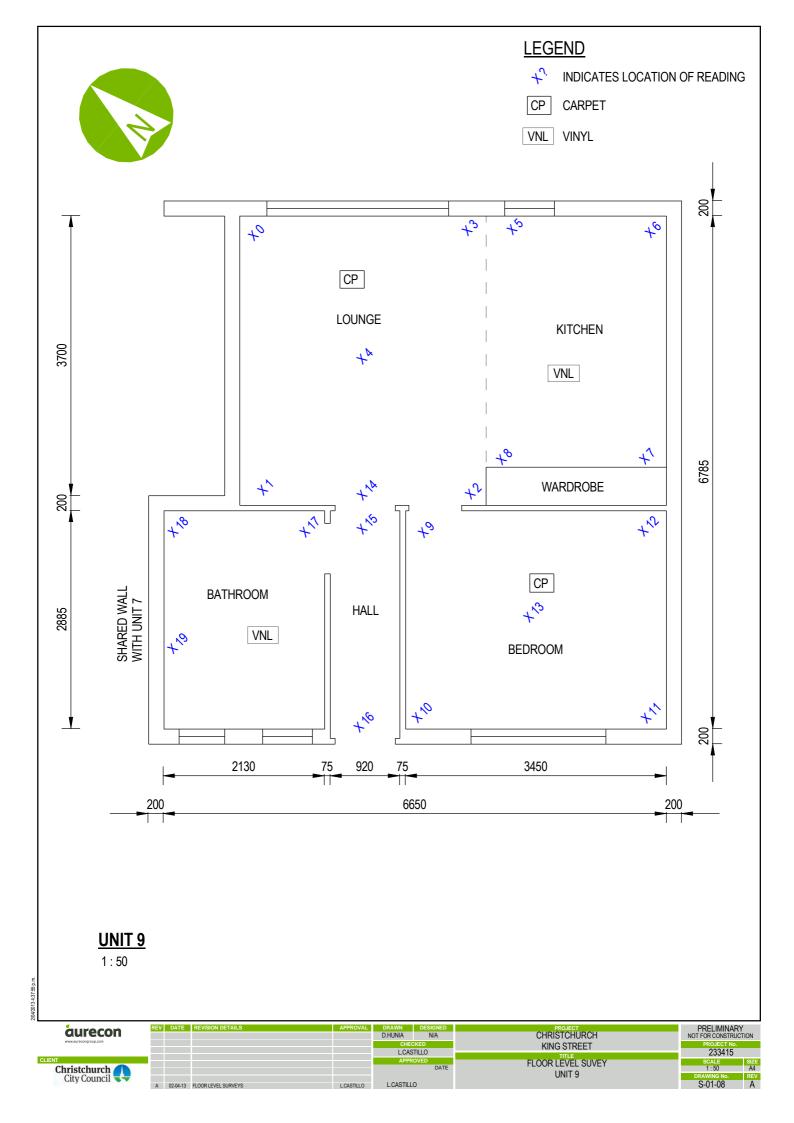


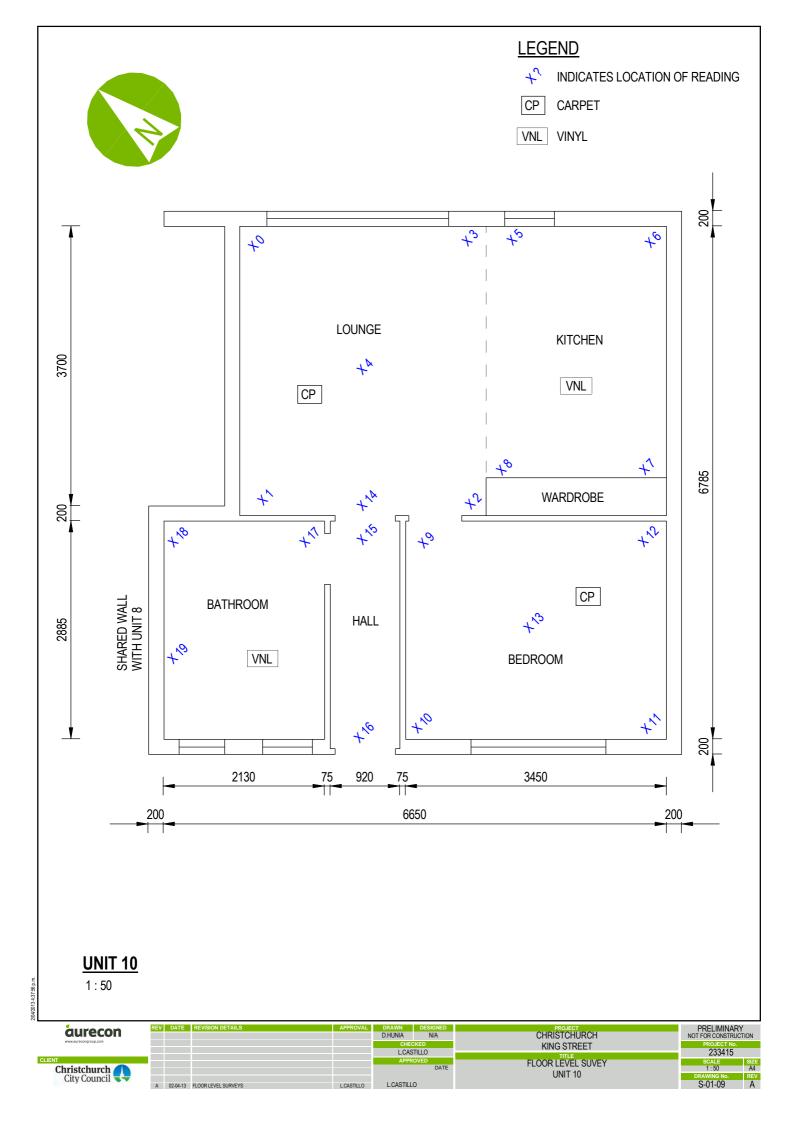
Christchurch City Council

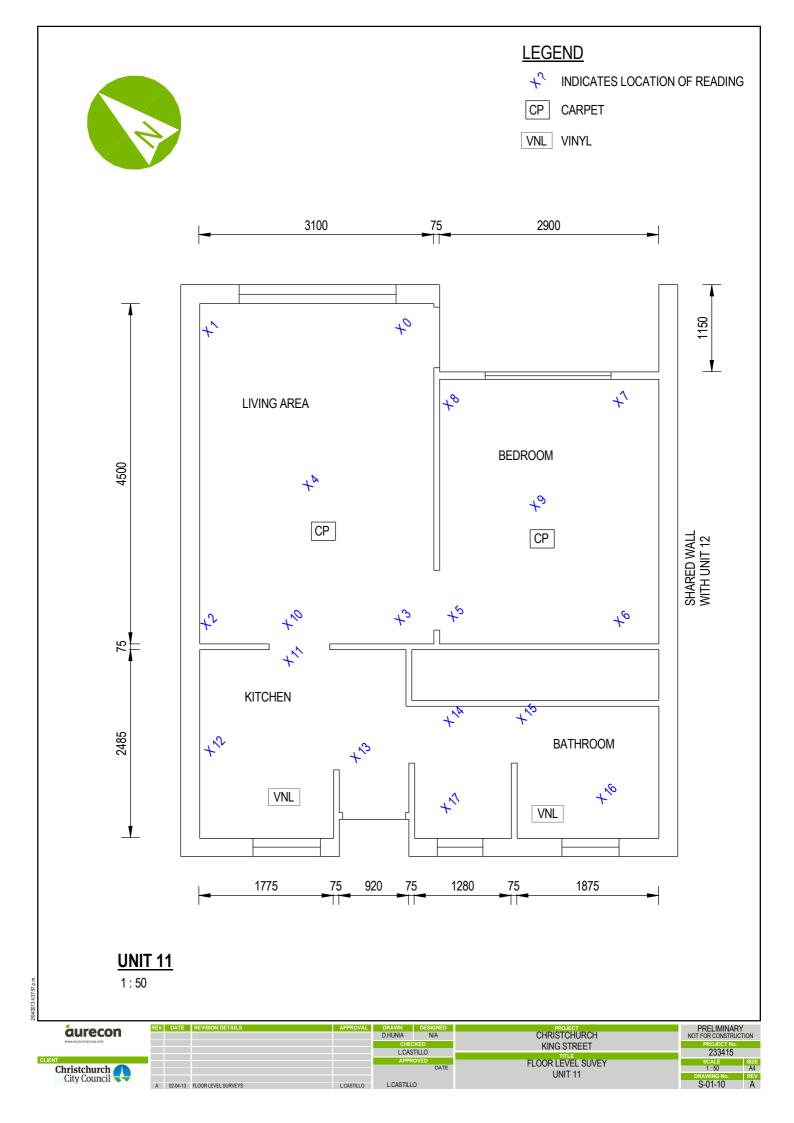
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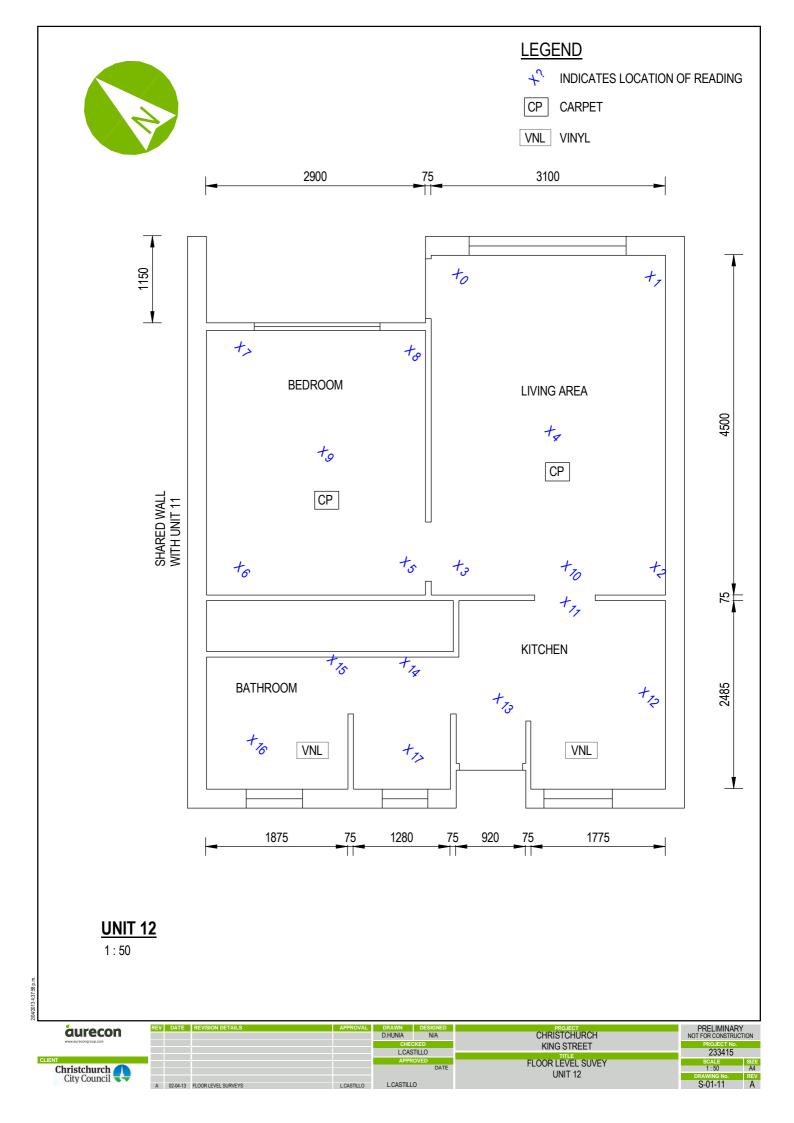
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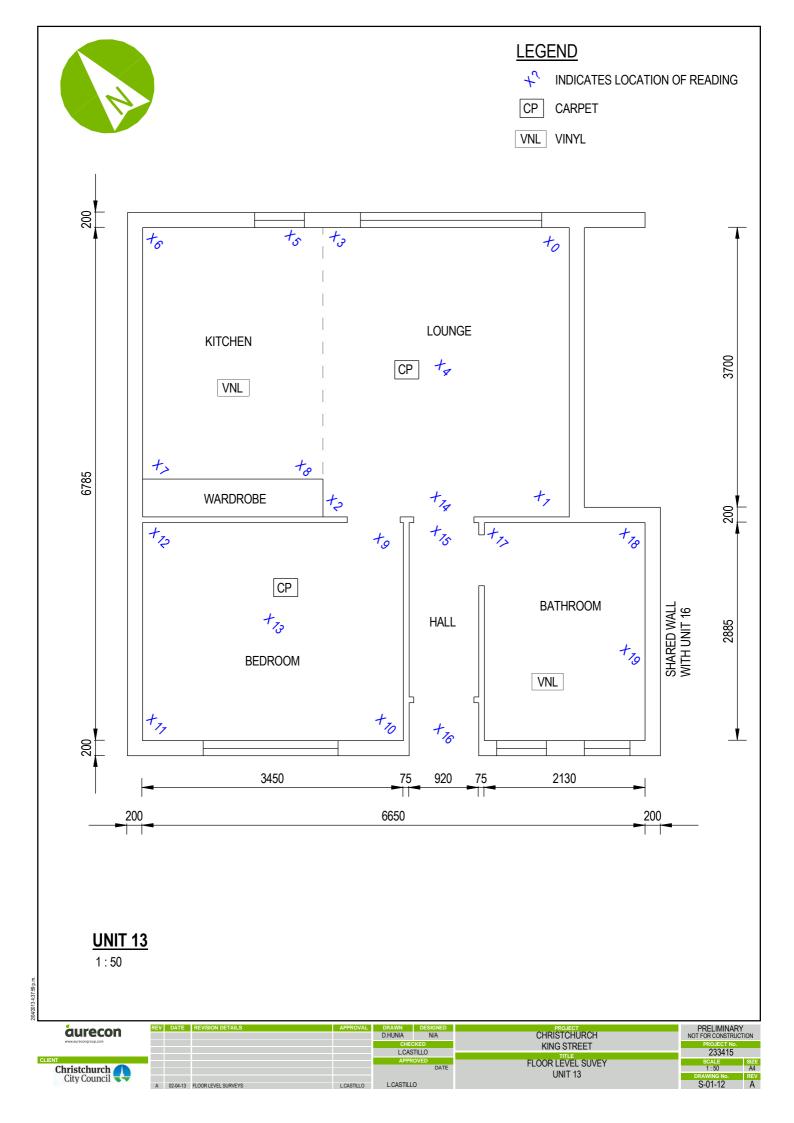
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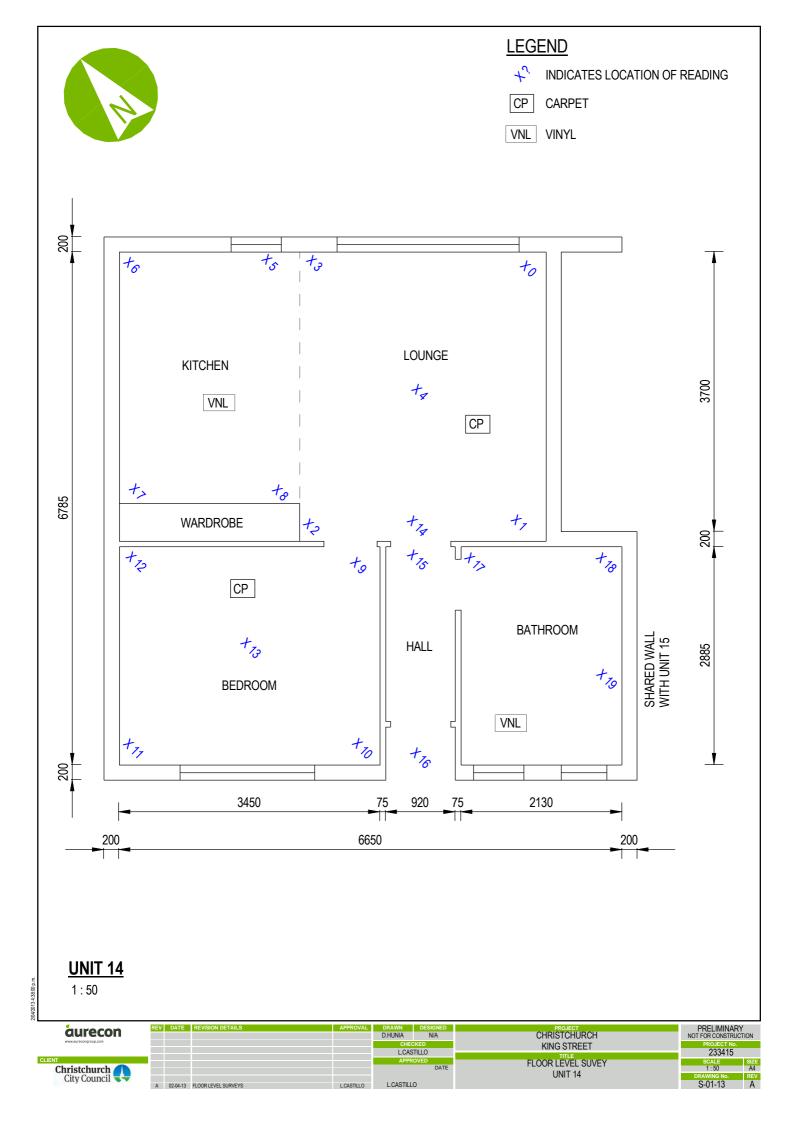


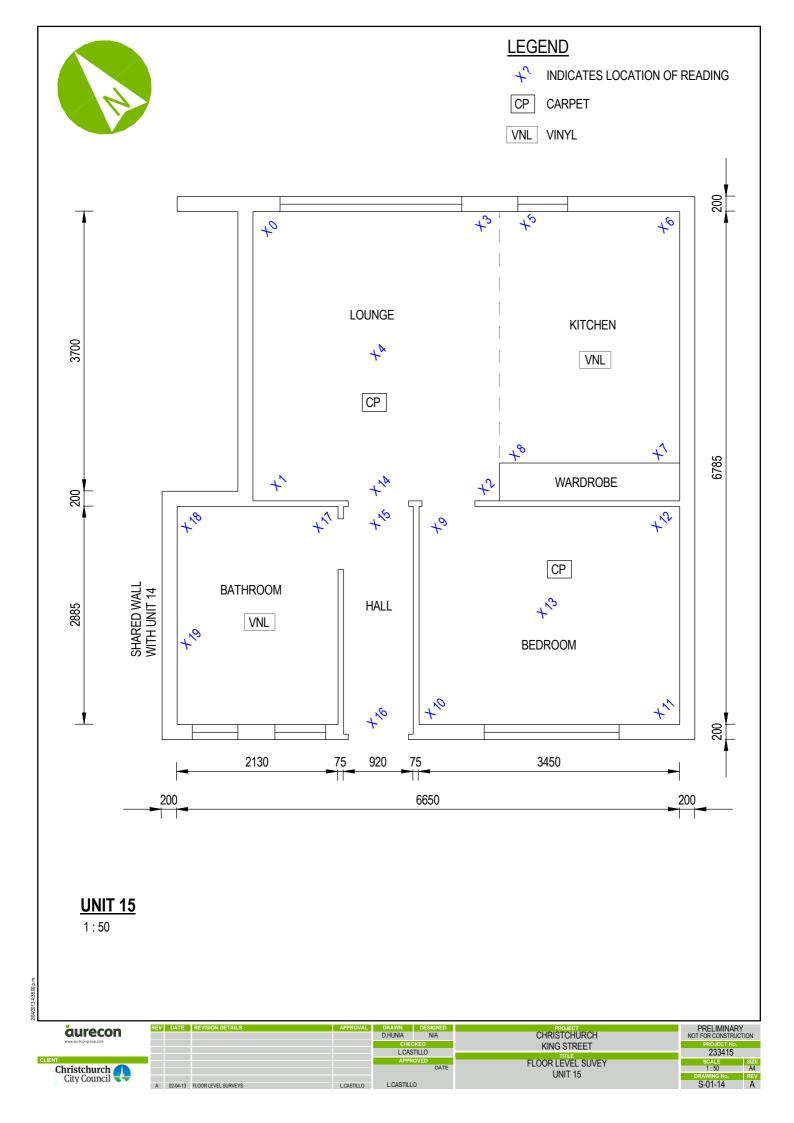


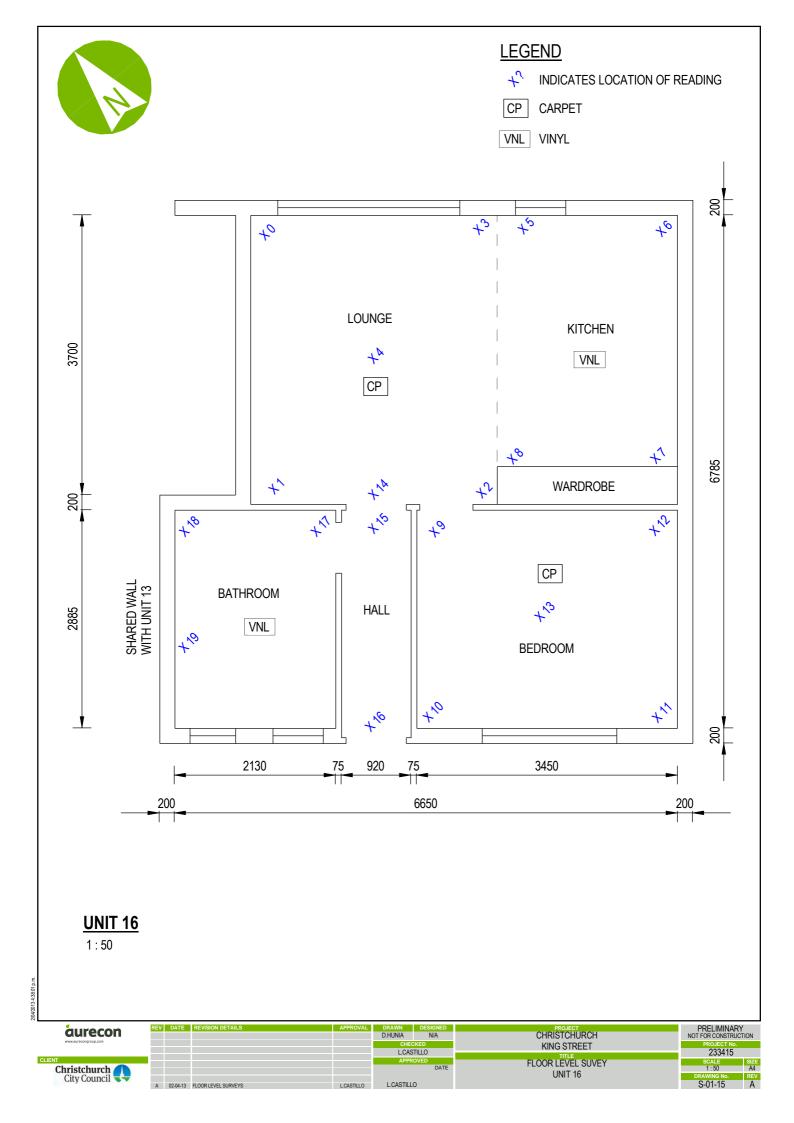


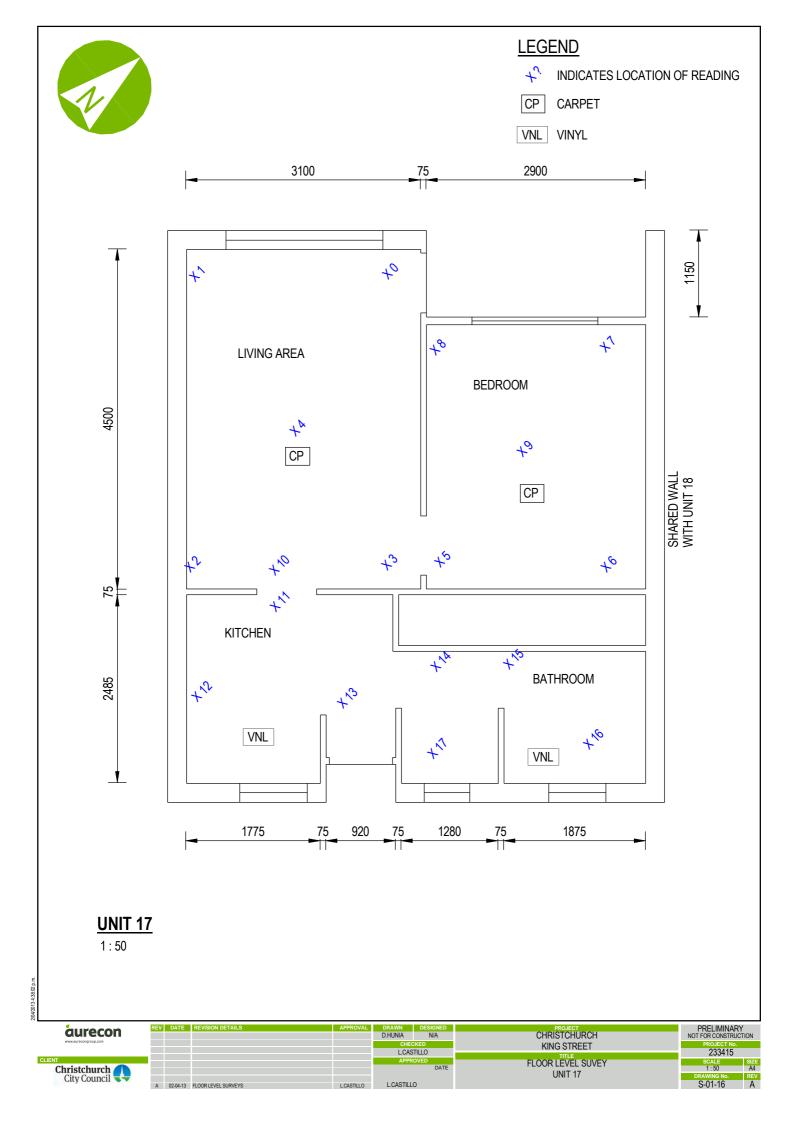


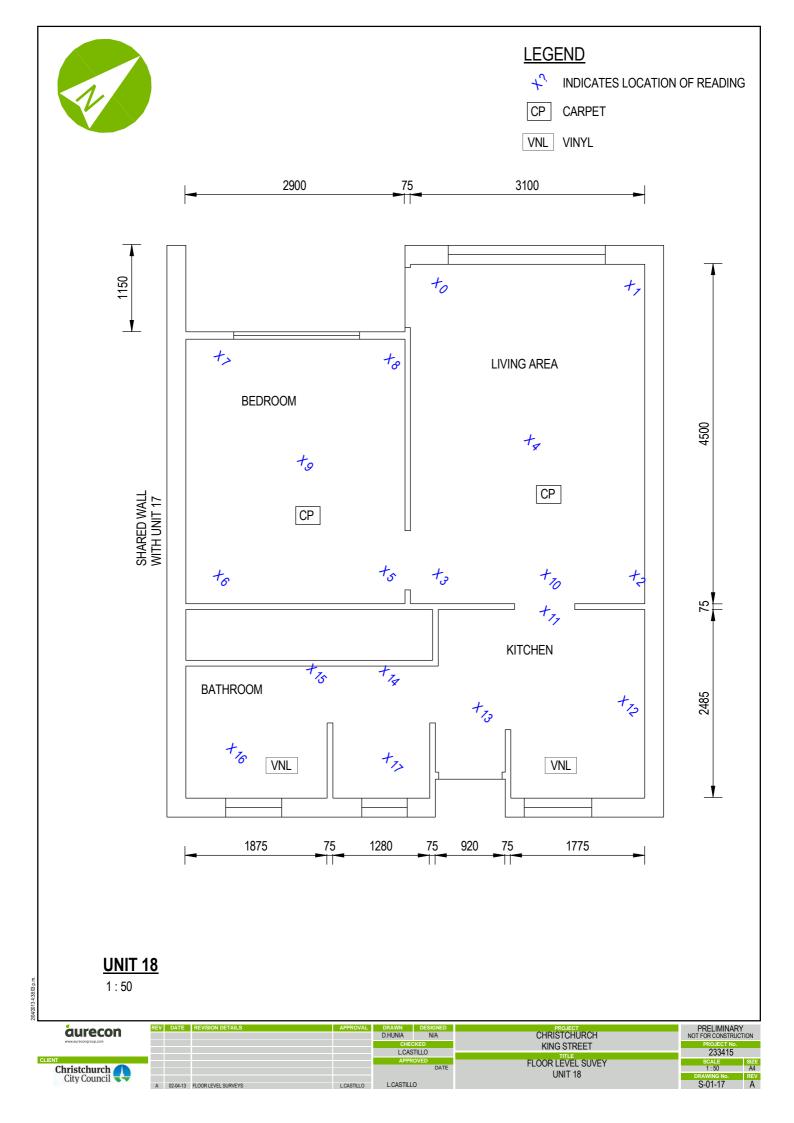


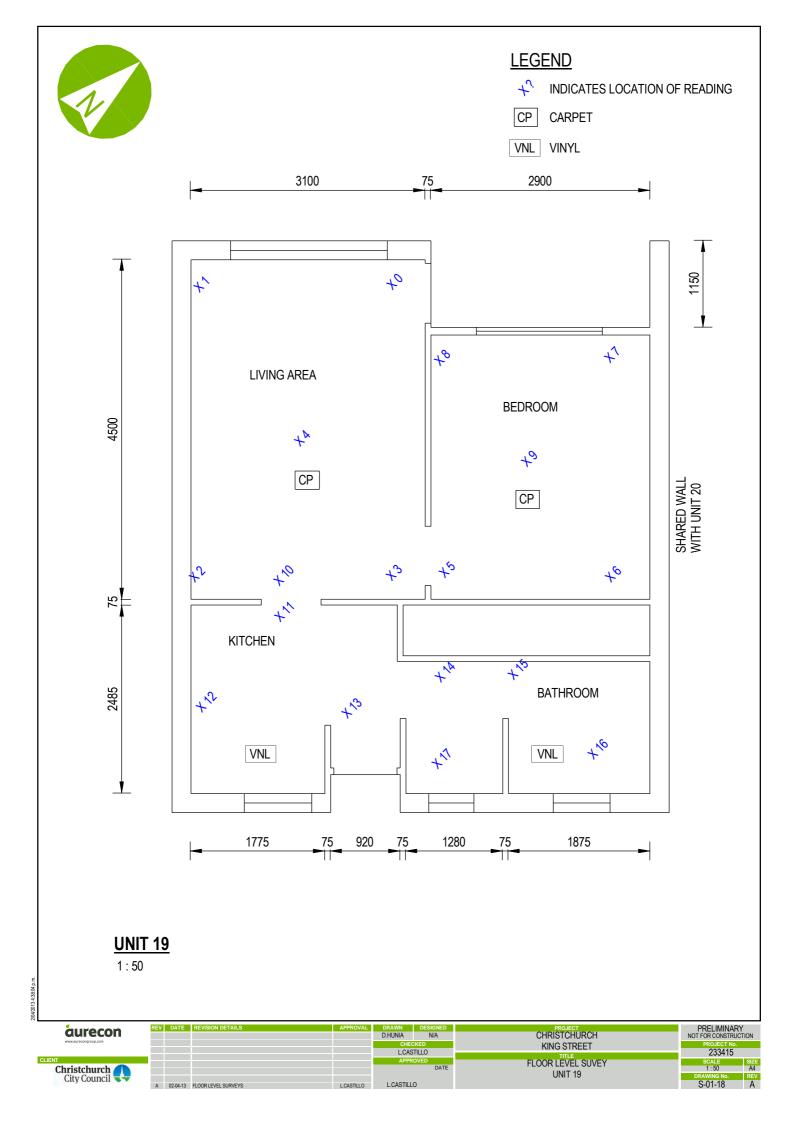


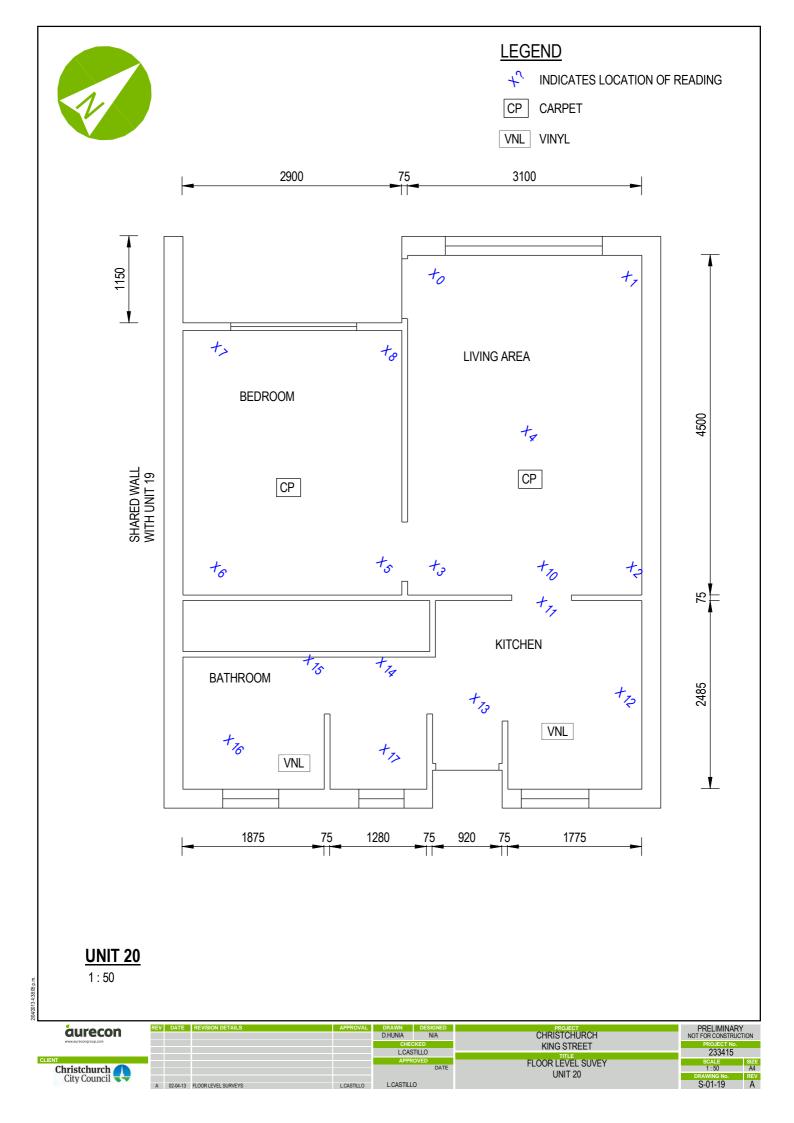












Appendix B

References

- 1. Department of Building and Housing (DBH), "Revised Guidance on Repairing and Rebuilding Houses Affected by the Canterbury Earthquake Sequence", November 2011
- 2. New Zealand Society for Earthquake Engineering (NZSEE), "Assessment and Improvement of the Structural Performance of Buildings in Earthquakes", April 2012
- 3. Standards New Zealand, "AS/NZS 1170 Part 0, Structural Design Actions: General Principles", 2002
- 4. Standards New Zealand, "AS/NZS 1170 Part 1, Structural Design Actions: Permanent, imposed and other actions", 2002
- 5. Standards New Zealand, "NZS 1170 Part 5, Structural Design Actions: Earthquake Actions New Zealand", 2004
- 6. Standards New Zealand, "NZS 3101 Part 1, The Design of Concrete Structures", 2006
- 7. Standards New Zealand, "NZS 3404 Part 1, Steel Structures Standard", 1997
- 8. Standards New Zealand, "NZS 3603, Timber Structures Standard", 1993
- 9. Standards New Zealand, "NZS 3604, Timber Framed Structures", 2011

Appendix C

Strength Assessment Explanation

New building standard (NBS)

New building standard (NBS) is the term used with reference to the earthquake standard that would apply to a new building of similar type and use if the building was designed to meet the latest design Codes of Practice. If the strength of a building is less than this level, then its strength is expressed as a percentage of NBS.

Earthquake Prone Buildings

A building can be considered to be earthquake prone if its strength is less than one third of the strength to which an equivalent new building would be designed, that is, less than 33%NBS (as defined by the New Zealand Building Act). If the building strength exceeds 33%NBS but is less than 67%NBS the building is considered at risk.

Christchurch City Council Earthquake Prone Building Policy 2010

The Christchurch City Council (CCC) already had in place an Earthquake Prone Building Policy (EPB Policy) requiring all earthquake-prone buildings to be strengthened within a timeframe varying from 15 to 30 years. The level to which the buildings were required to be strengthened was 33%NBS.

As a result of the 4 September 2010 Canterbury earthquake the CCC raised the level that a building was required to be strengthened to from 33% to 67% NBS but qualified this as a target level and noted that the actual strengthening level for each building will be determined in conjunction with the owners on a building-by-building basis. Factors that will be taken into account by the Council in determining the strengthening level include the cost of strengthening, the use to which the building is put, the level of danger posed by the building, and the extent of damage and repair involved.

Irrespective of strengthening level, the threshold level that triggers a requirement to strengthen is 33%NBS.

As part of any building consent application fire and disabled access provisions will need to be assessed.

Christchurch Seismicity

The level of seismicity within the current New Zealand loading code (AS/NZS 1170) is related to the seismic zone factor. The zone factor varies depending on the location of the building within NZ. Prior to the 22nd February 2011 earthquake the zone factor for Christchurch was 0.22. Following the earthquake the seismic zone factor (level of seismicity) in the Christchurch and surrounding areas has been increased to 0.3. This is a 36% increase.

For this assessment, the building's earthquake resistance is compared with the current New Zealand Building Code requirements for a new building constructed on the site. This is expressed as a percentage of new building standard (%NBS). The new building standard load requirements have been determined in accordance with the current earthquake loading standard (NZS 1170.5:2004 Structural design actions - Earthquake actions - New Zealand).

The likely capacity of this building has been derived in accordance with the New Zealand Society for Earthquake Engineering (NZSEE) guidelines 'Assessment and Improvement of the Structural Performance of Buildings in Earthquakes' (AISPBE), 2006. These guidelines provide an Initial Evaluation Procedure that assesses a buildings capacity based on a comparison of loading codes from when the building was designed

v

and currently. It is a quick high-level procedure that can be used when undertaking a Qualitative analysis of a building. The guidelines also provide guidance on calculating a modified Ultimate Limit State capacity of the building which is much more accurate and can be used when undertaking a Quantitative analysis.

The New Zealand Society for Earthquake Engineering has proposed a way for classifying earthquake risk for existing buildings in terms of %NBS and this is shown in Figure C1 below.

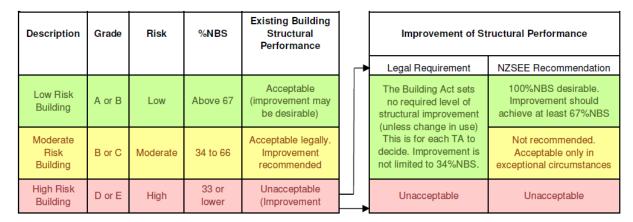


Figure C1: NZSEE Risk Classifications Extracted from table 2.2 of the NZSEE 2006 AlSPBE Guidelines

Table C1 below compares the percentage NBS to the relative risk of the building failing in a seismic event with a 10% probability of exceedance in 50 years (i.e. 0.2% in the next year). It is noted that the current seismic risk in Christchurch results in a 6% probability of exceedance in the next year.

Table C1: Relative Risk of Building Failure In A

Percentage of New Building Standard (%NBS)	Relative Risk (Approximate)
>100	<1 time
80-100	1-2 times
67-80	2-5 times
33-67	5-10 times
20-33	10-25 times
<20	>25 times

Appendix D

Background and Legal Framework

Background

Aurecon has been engaged by the Christchurch City Council (CCC) to undertake a detailed engineering evaluation of the building

This report is a Qualitative Assessment of the building structure, and is based on the Detailed Engineering Evaluation Procedure document (draft) issued by the Structural Advisory Group on 19 July 2011.

A qualitative assessment involves inspections of the building and a desktop review of existing structural and geotechnical information, including existing drawings and calculations, if available.

The purpose of the assessment is to determine the likely building performance and damage patterns, to identify any potential critical structural weaknesses or collapse hazards, and to make an initial assessment of the likely building strength in terms of percentage of new building standard (%NBS).

Compliance

This section contains a brief summary of the requirements of the various statutes and authorities that control activities in relation to buildings in Christchurch at present.

Canterbury Earthquake Recovery Authority (CERA)

CERA was established on 28 March 2011 to take control of the recovery of Christchurch using powers established by the Canterbury Earthquake Recovery Act enacted on 18 April 2011. This act gives the Chief Executive Officer of CERA wide powers in relation to building safety, demolition and repair. Two relevant sections are:

Section 38 - Works

This section outlines a process in which the chief executive can give notice that a building is to be demolished and if the owner does not carry out the demolition, the chief executive can commission the demolition and recover the costs from the owner or by placing a charge on the owners' land.

Section 51 - Requiring Structural Survey

This section enables the chief executive to require a building owner, insurer or mortgagee carry out a full structural survey before the building is re-occupied.

We understand that CERA will require a detailed engineering evaluation to be carried out for all buildings (other than those exempt from the Earthquake Prone Building definition in the Building Act). It is anticipated that CERA will adopt the Detailed Engineering Evaluation Procedure document (draft) issued by the Structural Advisory Group on 19 July 2011. This document sets out a methodology for both qualitative and quantitative assessments.

The qualitative assessment is a desk-top and site inspection assessment. It is based on a thorough visual inspection of the building coupled with a review of available documentation such as drawings and specifications. The quantitative assessment involves analytical calculation of the buildings strength and may require non-destructive or destructive material testing, geotechnical testing and intrusive investigation.

It is anticipated that factors determining the extent of evaluation and strengthening level required will include:

- The importance level and occupancy of the building
- The placard status and amount of damage
- The age and structural type of the building
- Consideration of any critical structural weaknesses
- The extent of any earthquake damage

Building Act

Several sections of the Building Act are relevant when considering structural requirements:

Section 112 - Alterations

This section requires that an existing building complies with the relevant sections of the Building Code to at least the extent that it did prior to any alteration. This effectively means that a building cannot be weakened as a result of an alteration (including partial demolition).

Section 115 - Change of Use

This section requires that the territorial authority (in this case Christchurch City Council (CCC)) be satisfied that the building with a new use complies with the relevant sections of the Building Code 'as near as is reasonably practicable'. Regarding seismic capacity 'as near as reasonably practicable' has previously been interpreted by CCC as achieving a minimum of 67%NBS however where practical achieving 100%NBS is desirable. The New Zealand Society for Earthquake Engineering (NZSEE) recommend a minimum of 67%NBS.

Section 121 - Dangerous Buildings

The definition of dangerous building in the Act was extended by the Canterbury Earthquake (Building Act) Order 2010, and it now defines a building as dangerous if:

- in the ordinary course of events (excluding the occurrence of an earthquake), the building is likely to cause injury or death or damage to other property; or
- in the event of fire, injury or death to any persons in the building or on other property is likely because of fire hazard or the occupancy of the building; or
- there is a risk that the building could collapse or otherwise cause injury or death as a result of earthquake shaking that is less than a 'moderate earthquake' (refer to Section 122 below); or
- there is a risk that that other property could collapse or otherwise cause injury or death; or
- a territorial authority has not been able to undertake an inspection to determine whether the building is dangerous.

Section 122 - Earthquake Prone Buildings

This section defines a building as earthquake prone if its ultimate capacity would be exceeded in a 'moderate earthquake' and it would be likely to collapse causing injury or death, or damage to other property. A moderate earthquake is defined by the building regulations as one that would generate ground shaking 33% of the shaking used to design an equivalent new building.

Section 124 - Powers of Territorial Authorities

This section gives the territorial authority the power to require strengthening work within specified timeframes or to close and prevent occupancy to any building defined as dangerous or earthquake prone.

Section 131 - Earthquake Prone Building Policy

This section requires the territorial authority to adopt a specific policy for earthquake prone, dangerous and insanitary buildings.

Christchurch City Council Policy

Christchurch City Council adopted their Earthquake Prone, Dangerous and Insanitary Building Policy in 2006. This policy was amended immediately following the Darfield Earthquake of the 4th September 2010.

The 2010 amendment includes the following:

- A process for identifying, categorising and prioritising Earthquake Prone Buildings, commencing on 1 July 2012;
- A strengthening target level of 67% of a new building for buildings that are Earthquake Prone;
- A timeframe of 15-30 years for Earthquake Prone Buildings to be strengthened; and,
- Repair works for buildings damaged by earthquakes will be required to comply with the above.

The council has stated their willingness to consider retrofit proposals on a case by case basis, considering the economic impact of such a retrofit.

We anticipate that any building with a capacity of less than 33%NBS (including consideration of critical structural weaknesses) will need to be strengthened to a target of 67%NBS of new building standard as recommended by the Policy.

If strengthening works are undertaken, a building consent will be required. A requirement of the consent will require upgrade of the building to comply 'as near as is reasonably practicable' with:

- The accessibility requirements of the Building Code.
- The fire requirements of the Building Code. This is likely to require a fire report to be submitted with the building consent application.

Building Code

The building code outlines performance standards for buildings and the Building Act requires that all new buildings comply with this code. Compliance Documents published by The Department of Building and Housing can be used to demonstrate compliance with the Building Code.

After the February Earthquake, on 19 May 2011, Compliance Document B1: Structure was amended to include increased seismic design requirements for Canterbury as follows:

- Hazard Factor increased from 0.22 to 0.3 (36% increase in the basic seismic design load)
- Serviceability Return Period Factor increased from 0.25 to 0.33 (80% increase in the serviceability design loads when combined with the Hazard Factor increase)

The increase in the above factors has resulted in a reduction in the level of compliance of an existing building relative to a new building despite the capacity of the existing building not changing.

Appendix E

Standard Reporting Spread Sheet

- ✓ Blocks A and D
- ✓ Block C
- ✓ Block D

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V1.11

Detailed Engineering Evaluation Summary Data

north (mm):

east (mm): south (mm):	
west (mm):	
Non-structural elements Stairs: _cast insitu	
Available documentation	1
Architectural partial original designer name/date Enterprise Homes Ltd Structural partial original designer name/date Enterprise homes Ltd Mechanical none original designer name/date Electrical none original designer name/date Geotech report none original designer name/date	
Damage Charles Control	
Site: Site performance: Good Describe damage: (refer DEE Table 4-2) Settlement: none observed notes (if applicable): none of (if applicable): non	
Building: Current Placard Status: green	
Along Damage ratio: 0% Describe how damage ratio arrived at: Describe (summary):	
Across Damage ratio:	
Diaphragms Damage?: no Describe:	
CSWs: Damage?: no Describe:	
Pounding: Damage?: no Describe:	
Non-structural: Damage?: yes Describe: Minor cracking	
Recommendations	
Level of repair/strengthening required: none Building Consent required: no Interim occupancy recommendations: full occupancy Describe:	
Along Assessed %NBS before e'quakes: 75% #### %NBS from IEP below If IEP not used, please detail assessment detailed calculations Assessed %NBS after e'quakes: 75% methodology:	
Across Assessed %NBS before e'quakes: 100% ##### %NBS from IEP below Assessed %NBS after e'quakes: 100%	
IEP Use of this method is not mandatory - more detailed analysis may give a different answer, which would take precedence. Do not fill in fields if not using IEP.	
Period of design of building (from above): 1976-1992 h₀ from above: m	

Seismic Zone, if designed between 1965 and 1992:			red for this age of build red for this age of build		
	Period (from above): (%NBS)nom from Fig 3.3:		along 0.4		across 0.4
Note:1 for specifically design public buildings, to the code of the day: pre-196	5 = 1.25; 1965-1976, Zone A =1. Note 2: for RC building e 3: for buildings designed prior to	gs designed betv	veen 1976-1984, use	1.2	
	Final (%NBS)nom:		along 0%		across 0%
2.2 Near Fault Scaling Factor	Near Faul	It scaling factor, t	from NZS1170.5, cl 3	.1.6:	across
Near Fault sc	aling factor (1/N(T,D), Factor A:		along #DIV/0!		#DIV/0!
2.3 Hazard Scaling Factor	Hazard t	Z	rom AS1170.5, Table	1992	0.30
		нагаго	scaling factor, Facto	эг Б :[333333333
2.4 Return Period Scaling Factor	Return Perio		rtance level (from abo from Table 3.1, Facto		0.80
			along	T	across
2.5 Ductility Scaling Factor Assessed duction Ductility scaling factor: =1 from 1976 onwards; or	tility (less than max in Table 3.2) =kμ, if pre-1976, fromTable 3.3:		2.00 1.57		2.00 1.57
D	Ouctiity Scaling Factor, Factor D:		1.00		1.00
2.6 Structural Performance Scaling Factor:	Sp:		0.700		0.700
Structural Perfor	mance Scaling Factor Factor E:	1.	428571429	1.	428571429
2.7 Baseline %NBS, (NBS%)b = (%NBS)nom x A x B x C x D x E	%NBS _b :		#DIV/0!		#DIV/0!
Global Critical Structural Weaknesses: (refer to NZSEE IEP Table 3.4)					
3.1. Plan Irregularity, factor A: insignificant 1					
3.2. Vertical irregularity, Factor B: significant 0.7					
3.3. Short columns, Factor C: insignificant 1	Table for selection of D1		Severe	Significant	Insignificant/none
3.4. Pounding potential Pounding effect D1, from Table to right 1.0	Alimona and of floore with	Separation	0 <sep<.005h 0.7</sep<.005h 	.005 <sep<.01h< td=""><td>Sep>.01H</td></sep<.01h<>	Sep>.01H
Height Difference effect D2, from Table to right 1.0	Alignment of floors with Alignment of floors not with		0.7	0.8 0.7	0.8
Therefore, Factor D: 1	Table for Selection of D2		Severe	Significant	Insignificant/none
3.5. Site Characteristics insignificant 1		Separation	0 <sep<.005h< td=""><td>.005<sep<.01h< td=""><td>Sep>.01H</td></sep<.01h<></td></sep<.005h<>	.005 <sep<.01h< td=""><td>Sep>.01H</td></sep<.01h<>	Sep>.01H
o.o. one onaracteristics	Height difference	-	0.4	0.7	1
	Height difference 2		0.7 1	0.9 1	1
	Height difference	< 2 Storeys			·
3.6. Other factors, Factor F For ≤ 3 storeys, max value =2.5, otherwise Ration.	se max valule =1.5, no minimum ale for choice of F factor, if not 1		Along 2.0		Across 2.0
Detail Critical Structural Weaknesses: (refer to DEE Procedure section 6) List any: Refer also s	section 6.3.1 of DEE for discussion	on of E factor ma	diffication for other cri	tical structural weaks	2000

	3.7. Overall Performance Achievement ratio (PAR)		3.7. Overall Performance Achievement ratio (PAR) 1.40 1.40				
	4.3 PAR x (%NBS)b:	PAR x Baselline %NBS:	#DIV/0!	#DIV/0!			
	4.4 Percentage New Building Standard (%NBS), (before)			#DIV/0!			

leave blank if not relevant

north (mm):

	east (mm): south (mm): west (mm):			
Non-structural eleme	Stairs: Wall cladding: Roof Cladding: Glazing:		notes describe (note cavity if exists) describe	
Available documen	ntation			
	Architectural Structural Mechanical Electrical Geotech report	partial none none	original designer name/date original designer name/date original designer name/date original designer name/date original designer name/date	
Damage				
Site: (refer DEE Table 4-2	Settlement: Differential settlement:	none observed none observed none apparent none apparent none apparent none apparent	Describe damage: notes (if applicable):	
Building:	Current Placard Status:	green]	
Along	Damage ratio: Describe (summary):	0%	Describe how damage ratio arrived at:	
Across	Damage ratio: Describe (summary):	0%	$Damage _Ratio = \frac{(\% NBS (before) - \% NBS (after))}{\% NBS (before)}$	
Diaphragms	Damage?:	no	Describe:	
CSWs:	Damage?:	no	Describe:	
Pounding:	Damage?:	no	Describe:	
Non-structural:	Damage?:	yes	Describe:	Minor cracking
Recommendations				
	Level of repair/strengthening required: Building Consent required: Interim occupancy recommendations:	no	Describe: Describe: Describe:	
Along	Assessed %NBS before e'quakes: Assessed %NBS after e'quakes:	41% 41%	##### %NBS from IEP below If IEP not used, please detail assessment methodology:	detailed calculations
Across	Assessed %NBS before e'quakes: Assessed %NBS after e'quakes:		##### %NBS from IEP below	
IEP	Use of this me	ethod is not mandatory - more detailed a	nalysis may give a different answer, which would take precedence. Do not fill in f	ields if not using IEP.
F	Period of design of building (from above):	1976-1992	h₁ from above:	m
	ones or sooigh or building (noth above).		Till Hoth above.	

Seismic Zone, if designed between 1965 and 1992:			red for this age of build red for this age of build		
	Period (from above): (%NBS)nom from Fig 3.3:		along 0.4		across 0.4
Note:1 for specifically design public buildings, to the code of the day: pre-196	5 = 1.25; 1965-1976, Zone A =1. Note 2: for RC building e 3: for buildings designed prior to	gs designed betv	veen 1976-1984, use	1.2	
	Final (%NBS)nom:		along 0%		across 0%
2.2 Near Fault Scaling Factor	Near Faul	It scaling factor, t	from NZS1170.5, cl 3	.1.6:	across
Near Fault sc	aling factor (1/N(T,D), Factor A:		along #DIV/0!		#DIV/0!
2.3 Hazard Scaling Factor	Hazard t	Z	rom AS1170.5, Table	1992	0.30
		нагаго	scaling factor, Facto	эг Б :[333333333
2.4 Return Period Scaling Factor	Return Perio		rtance level (from abo from Table 3.1, Facto		0.80
			along	T	across
2.5 Ductility Scaling Factor Assessed duction Ductility scaling factor: =1 from 1976 onwards; or	tility (less than max in Table 3.2) =kμ, if pre-1976, fromTable 3.3:		2.00 1.57		2.00 1.57
D	Ouctiity Scaling Factor, Factor D:		1.00		1.00
2.6 Structural Performance Scaling Factor:	Sp:		0.700		0.700
Structural Perfor	mance Scaling Factor Factor E:	1.	428571429	1.	428571429
2.7 Baseline %NBS, (NBS%)b = (%NBS)nom x A x B x C x D x E	%NBS _b :		#DIV/0!		#DIV/0!
Global Critical Structural Weaknesses: (refer to NZSEE IEP Table 3.4)					
3.1. Plan Irregularity, factor A: insignificant 1					
3.2. Vertical irregularity, Factor B: significant 0.7					
3.3. Short columns, Factor C: insignificant 1	Table for selection of D1		Severe	Significant	Insignificant/none
3.4. Pounding potential Pounding effect D1, from Table to right 1.0	Alimona and of floore with	Separation	0 <sep<.005h 0.7</sep<.005h 	.005 <sep<.01h< td=""><td>Sep>.01H</td></sep<.01h<>	Sep>.01H
Height Difference effect D2, from Table to right 1.0	Alignment of floors with Alignment of floors not with		0.7	0.8 0.7	0.8
Therefore, Factor D: 1	Table for Selection of D2		Severe	Significant	Insignificant/none
3.5. Site Characteristics insignificant 1		Separation	0 <sep<.005h< td=""><td>.005<sep<.01h< td=""><td>Sep>.01H</td></sep<.01h<></td></sep<.005h<>	.005 <sep<.01h< td=""><td>Sep>.01H</td></sep<.01h<>	Sep>.01H
o.o. one onaracteristics	Height difference	-	0.4	0.7	1
	Height difference 2		0.7 1	0.9 1	1
	Height difference	< 2 Storeys			·
3.6. Other factors, Factor F For ≤ 3 storeys, max value =2.5, otherwise Ration.	se max valule =1.5, no minimum ale for choice of F factor, if not 1		Along 2.0		Across 2.0
Detail Critical Structural Weaknesses: (refer to DEE Procedure section 6) List any: Refer also s	section 6.3.1 of DEE for discussion	on of E factor ma	diffication for other cri	tical structural weaks	2000

	3.7. Overall Performance Achievement ratio (PAR)		3.7. Overall Performance Achievement ratio (PAR) 1.40 1.40				
	4.3 PAR x (%NBS)b:	PAR x Baselline %NBS:	#DIV/0!	#DIV/0!			
	4.4 Percentage New Building Standard (%NBS), (before)			#DIV/0!			

leave blank if not relevant

V1.11

Detailed Engineering Evaluation Summary Data

north (mm):

	east (mm): south (mm): west (mm):		
Non-structural eleme	Stairs: Wall cladding: Roof Cladding: Glazing:	cast insitu brick or tile Heavy tiles aluminium frames light tiles	describe (note cavity if exists) describe
Available documen			
	Architectural Structural Mechanical Electrical Geotech report	partial none none	original designer name/date Enterprise Homes Ltd original designer name/date Enterprise homes Ltd original designer name/date original designer name/date original designer name/date
Damage		-	
Site: (refer DEE Table 4-2	Settlement: Differential settlement:	none observed none observed none apparent none apparent none apparent none apparent	Describe damage: notes (if applicable):
Building:	Current Placard Status:	green]
Along	Damage ratio: Describe (summary):	0%	Describe how damage ratio arrived at:
Across	Damage ratio: Describe (summary):		$Damage _Ratio = \frac{(\% NBS (before) - \% NBS (after))}{\% NBS (before)}$
Diaphragms	Damage?:	no	Describe:
CSWs:	Damage?:	no	Describe:
Pounding:	Damage?:	no	Describe:
Non-structural:	Damage?:	yes	Describe: Minor cracking
Recommendations	Level of repair/strengthening required: Building Consent required:	no	Describe: Describe:
Along	Interim occupancy recommendations: Assessed %NBS before e'quakes: Assessed %NBS after e'quakes:	42%	##### %NBS from IEP below If IEP not used, please detail assessment detailed calculations methodology:
Across	Assessed %NBS before e'quakes: Assessed %NBS after e'quakes:		##### %NBS from IEP below
IEP	lieg of this me	athod is not mandatory - more detailed a	nalysis may give a different answer, which would take precedence. Do not fill in fields if not using IEP.
		·	
P	eriod of design of building (from above):	1976-1992	h₁ from above: m

Seismic Zone, if designed between 1965 and 1992:			red for this age of build red for this age of build		
	Period (from above): (%NBS)nom from Fig 3.3:		along 0.4		across 0.4
Note:1 for specifically design public buildings, to the code of the day: pre-196	5 = 1.25; 1965-1976, Zone A =1. Note 2: for RC building e 3: for buildings designed prior to	gs designed betv	veen 1976-1984, use	1.2	
	Final (%NBS)nom:		along 0%		across 0%
2.2 Near Fault Scaling Factor	Near Faul	It scaling factor, t	from NZS1170.5, cl 3	.1.6:	across
Near Fault sc	aling factor (1/N(T,D), Factor A:		along #DIV/0!		#DIV/0!
2.3 Hazard Scaling Factor	Hazard t	Z	rom AS1170.5, Table	1992	0.30
		нагаго	scaling factor, Facto	эг Б :[333333333
2.4 Return Period Scaling Factor	Return Perio		rtance level (from abo from Table 3.1, Facto		0.80
			along	T	across
2.5 Ductility Scaling Factor Assessed duction Ductility scaling factor: =1 from 1976 onwards; or	tility (less than max in Table 3.2) =kμ, if pre-1976, fromTable 3.3:		2.00 1.57		2.00 1.57
D	Ouctiity Scaling Factor, Factor D:		1.00		1.00
2.6 Structural Performance Scaling Factor:	Sp:		0.700		0.700
Structural Perfor	mance Scaling Factor Factor E:	1.	428571429	1.	428571429
2.7 Baseline %NBS, (NBS%)b = (%NBS)nom x A x B x C x D x E	%NBS _b :		#DIV/0!		#DIV/0!
Global Critical Structural Weaknesses: (refer to NZSEE IEP Table 3.4)					
3.1. Plan Irregularity, factor A: insignificant 1					
3.2. Vertical irregularity, Factor B: significant 0.7					
3.3. Short columns, Factor C: insignificant 1	Table for selection of D1		Severe	Significant	Insignificant/none
3.4. Pounding potential Pounding effect D1, from Table to right 1.0	Alimona and of floore with	Separation	0 <sep<.005h 0.7</sep<.005h 	.005 <sep<.01h< td=""><td>Sep>.01H</td></sep<.01h<>	Sep>.01H
Height Difference effect D2, from Table to right 1.0	Alignment of floors with Alignment of floors not with		0.7	0.8 0.7	0.8
Therefore, Factor D: 1	Table for Selection of D2		Severe	Significant	Insignificant/none
3.5. Site Characteristics insignificant 1		Separation	0 <sep<.005h< td=""><td>.005<sep<.01h< td=""><td>Sep>.01H</td></sep<.01h<></td></sep<.005h<>	.005 <sep<.01h< td=""><td>Sep>.01H</td></sep<.01h<>	Sep>.01H
o.o. one onaracteristics	Height difference	-	0.4	0.7	1
	Height difference 2		0.7 1	0.9 1	1
	Height difference	< 2 Storeys			·
3.6. Other factors, Factor F For ≤ 3 storeys, max value =2.5, otherwise Ration.	se max valule =1.5, no minimum ale for choice of F factor, if not 1		Along 2.0		Across 2.0
Detail Critical Structural Weaknesses: (refer to DEE Procedure section 6) List any: Refer also s	section 6.3.1 of DEE for discussion	on of E factor ma	diffication for other cri	tical structural weaks	2000

	3.7. Overall Performance Achievement ratio (PAR)		3.7. Overall Performance Achievement ratio (PAR) 1.40 1.40				
	4.3 PAR x (%NBS)b:	PAR x Baselline %NBS:	#DIV/0!	#DIV/0!			
	4.4 Percentage New Building Standard (%NBS), (before)			#DIV/0!			



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Swaziland, Tanzania, Thailand, Uganda,
United Arab Emirates, Vietnam.