

CHRISTCHURCH CITY COUNCIL
PRK_3563_BLDG_001 EQ2
Cholmondeley Reserve Toilet
102 Main Road, Governors Bay



QUALITATIVE ASSESSMENT REPORT

FINAL

- Rev B
- 23 May 2013



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102 Main Road, Governors Bay

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1. Executive Summary

1.1. Background

A qualitative assessment was carried out on the building located in Cholmondeley Reserve at 102 Main Road, Governors Bay. The building is single storey and is currently utilised as a public toilet. It is constructed from lightweight timber framing with stonework veneer. An aerial photograph illustrating this area is shown below in Figure 1. Detailed descriptions outlining the building's age and construction type is given in Section 5 of this report.



■ Figure 1 Aerial Photograph of the public toilet in Cholmondeley Reserve

The qualitative assessment includes a summary of the building damage as well as an initial assessment of the current seismic capacity compared with current seismic code loads using the Initial Evaluation Procedure (IEP).

This qualitative report for the building structure is based on the Detailed Engineering Evaluation Procedure document (draft) issued by the Structural Advisory Group on 19 July 2011 and a visual inspection on 9 October 2012.



1.2. Key Damage Observed

Damage observed includes:-

- Tearing of internal wall linings at joints
- Gap opening up between internal timber cladding elements at joints

1.3. Critical Structural Weaknesses

No potential critical structural weaknesses have been identified for this building.

1.4. Indicative Building Strength (from IEP and CSW assessment)

Based on the information available, and using the NZSEE Initial Evaluation Procedure, the buildings original capacity has been assessed to be greater than 100%NBS. No structural damage was observed during the site investigation therefore the post earthquake capacity will not change as a result of earthquake damage.

The building has been assessed to have a seismic capacity greater than 67% NBS and is therefore not a potential earthquake risk.

1.5. Recommendations

It is recommended that:

- a) There is no damage to the building that would cause it to be unsafe to occupy.
- b) We consider that barriers around the building are not necessary.

2. Introduction

Sinclair Knight Merz was engaged by Christchurch City Council to prepare a qualitative assessment report for the building located in Cholmondeley Reserve at 102 Main Road following the magnitude 6.3 earthquake which occurred in the afternoon of the 22nd of February 2011 and the subsequent aftershocks.

The qualitative assessment uses the methodology recommended in the Engineering Advisory Group draft document “Guidance on Detailed Engineering Evaluation of Earthquake affected Non-residential Buildings in Canterbury”, issued 19 July 2011. The qualitative assessment includes a summary of the building damage as well as an initial assessment of the likely current Seismic Capacity compared with current seismic code requirements.

A qualitative assessment involves inspections of the building and a desktop review of existing structural and geotechnical information, including existing drawings and calculations, if available.

The purpose of the assessment is to determine the likely building performance and damage patterns, to identify any potential critical structural weaknesses or collapse hazards, and to make an initial assessment of the likely building strength in terms of percentage of new building standard (%NBS).

This report describes the structural damage observed during our inspection and indicates suggested remediation measures. The inspection was undertaken from floor levels and was a visual inspection only. Our report reflects the situation at the time of the inspection and does not take account of changes caused by any events following our inspection. A full description of the basis on which we have undertaken our visual inspection is set out in Section 7.

The NZ Society for Earthquake Engineering (NZSEE) Initial Evaluation Procedure (IEP) was used to assess the likely performance of the building in a seismic event relative to the New Building Standard (NBS). 100% NBS is equivalent to the strength of a building that fully complies with current codes. This includes a recent increase of the Christchurch seismic hazard factor from 0.22 to 0.3¹.

At the time of this report, no intrusive site investigation, detailed analysis, or modelling of the building structure had been carried out. The building description below is based on our visual inspections.

¹ <http://www.dbh.govt.nz/seismicity-info>

3. Compliance

This section contains a brief summary of the requirements of the various statutes and authorities that control activities in relation to buildings in Christchurch at present.

3.1. Canterbury Earthquake Recovery Authority (CERA)

CERA was established on 28 March 2011 to take control of the recovery of Christchurch using powers established by the Canterbury Earthquake Recovery Act enacted on 18 April 2011. This act gives the Chief Executive Officer of CERA wide powers in relation to building safety, demolition and repair. Two relevant sections are:

Section 38 – Works

This section outlines a process in which the chief executive can give notice that a building is to be demolished and if the owner does not carry out the demolition, the chief executive can commission the demolition and recover the costs from the owner or by placing a charge on the owners' land.

Section 51 – Requiring Structural Survey

This section enables the chief executive to require a building owner, insurer or mortgagee carry out a full structural survey before the building is re-occupied.

We understand that CERA will require a detailed engineering evaluation to be carried out for all buildings (other than those exempt from the Earthquake Prone Building definition in the Building Act). It is anticipated that CERA will adopt the Detailed Engineering Evaluation Procedure document (draft) issued by the Structural Advisory Group on 19 July 2011. This document sets out a methodology for both qualitative and quantitative assessments.

The qualitative assessment is a desk-top and site inspection assessment. It is based on a thorough visual inspection of the building coupled with a review of available documentation such as drawings and specifications. The quantitative assessment involves analytical calculation of the buildings strength and may require non-destructive or destructive material testing, geotechnical testing and intrusive investigation.

It is anticipated that factors determining the extent of evaluation and strengthening level required will include:

- The importance level and occupancy of the building
- The placard status and amount of damage
- The age and structural type of the building
- Consideration of any critical structural weaknesses

- The extent of any earthquake damage

3.2. Building Act

Several sections of the Building Act are relevant when considering structural requirements:

3.2.1. Section 112 – Alterations

This section requires that an existing building complies with the relevant sections of the Building Code to at least the extent that it did prior to any alteration. This effectively means that a building cannot be weakened as a result of an alteration (including partial demolition).

3.2.2. Section 115 – Change of Use

This section requires that the territorial authority (in this case Christchurch City Council (CCC)) be satisfied that the building with a new use complies with the relevant sections of the Building Code ‘as near as is reasonably practicable’. Regarding seismic capacity ‘as near as reasonably practicable’ has previously been interpreted by CCC as achieving a minimum of 67%NBS however where practical achieving 100%NBS is desirable. The New Zealand Society for Earthquake Engineering (NZSEE) recommend a minimum of 67%NBS.

3.2.3. Section 121 – Dangerous Buildings

The definition of dangerous building in the Act was extended by the Canterbury Earthquake (Building Act) Order 2010, and it now defines a building as dangerous if:

- in the ordinary course of events (excluding the occurrence of an earthquake), the building is likely to cause injury or death or damage to other property; or
- in the event of fire, injury or death to any persons in the building or on other property is likely because of fire hazard or the occupancy of the building; or
- there is a risk that the building could collapse or otherwise cause injury or death as a result of earthquake shaking that is less than a ‘moderate earthquake’ (refer to Section 122 below); or
- there is a risk that that other property could collapse or otherwise cause injury or death; or
- a territorial authority has not been able to undertake an inspection to determine whether the building is dangerous.

3.2.4. Section 122 – Earthquake Prone Buildings

This section defines a building as earthquake prone if its ultimate capacity would be exceeded in a ‘moderate earthquake’ and it would be likely to collapse causing injury or death, or damage to other property. A moderate earthquake is defined by the building regulations as one that would generate ground shaking 33% of the shaking used to design an equivalent new building.

3.2.5. Section 124 – Powers of Territorial Authorities

This section gives the territorial authority the power to require strengthening work within specified timeframes or to close and prevent occupancy to any building defined as dangerous or earthquake prone.

3.2.6. Section 131 – Earthquake Prone Building Policy

This section requires the territorial authority to adopt a specific policy for earthquake prone, dangerous and insanitary buildings.

3.3. Christchurch City Council Policy

Christchurch City Council adopted their Earthquake Prone, Dangerous and Insanitary Building Policy in 2006. This policy was amended immediately following the Darfield Earthquake of the 4th September 2010.

The 2010 amendment includes the following:

- A process for identifying, categorising and prioritising Earthquake Prone Buildings, commencing on 1 July 2012;
- A strengthening target level of 67% of a new building for buildings that are Earthquake Prone. Council recognises that it may not be practicable for some repairs to meet that target. The council will work closely with building owners to achieve sensible, safe outcomes;
- A timeframe of 15-30 years for Earthquake Prone Buildings to be strengthened; and,
- Repair works for buildings damaged by earthquakes will be required to comply with the above.

The council has stated their willingness to consider retrofit proposals on a case by case basis, considering the economic impact of such a retrofit.

We anticipate that any building with a capacity of less than 33%NBS (including consideration of critical structural weaknesses) will need to be strengthened to a target of 67%NBS of new building standard as recommended by the Policy.

If strengthening works are undertaken, a building consent will be required. A requirement of the consent will require upgrade of the building to comply 'as near as is reasonably practicable' with:

- The accessibility requirements of the Building Code.
- The fire requirements of the Building Code. This is likely to require a fire report to be submitted with the building consent application.



3.4. Building Code

The building code outlines performance standards for buildings and the Building Act requires that all new buildings comply with this code. Compliance Documents published by The Department of Building and Housing can be used to demonstrate compliance with the Building Code.

After the February Earthquake, on 19 May 2011, Compliance Document B1: Structure was amended to include increased seismic design requirements for Canterbury as follows:

- a) Hazard Factor increased from 0.22 to 0.3 (36% increase in the basic seismic design load)
- b) Serviceability Return Period Factor increased from 0.25 to 0.33 (80% increase in the serviceability design loads when combined with the Hazard Factor increase)

The increase in the above factors has resulted in a reduction in the level of compliance of an existing building relative to a new building despite the capacity of the existing building not changing.



4. Earthquake Resistance Standards

For this assessment, the building's earthquake resistance is compared with the current New Zealand Building Code requirements for a new building constructed on the site. This is expressed as a percentage of new building standard (%NBS). The new building standard load requirements have been determined in accordance with the current earthquake loading standard (NZS 1170.5:2004 Structural design actions - Earthquake actions - New Zealand).

The likely capacity of this building has been derived in accordance with the New Zealand Society for Earthquake Engineering (NZSEE) guidelines 'Assessment and Improvement of the Structural Performance of Buildings in Earthquakes' (AISPBE), 2006. These guidelines provide an Initial Evaluation Procedure that assesses a buildings capacity based on a comparison of loading codes from when the building was designed and currently. It is a quick high-level procedure that can be used when undertaking a Qualitative analysis of a building. The guidelines also provide guidance on calculating a modified Ultimate Limit State capacity of the building which is much more accurate and can be used when undertaking a Quantitative analysis.

The New Zealand Society for Earthquake Engineering has proposed a way for classifying earthquake risk for existing buildings in terms of %NBS and this is shown in Figure 2 below.

| Description | Grade | Risk | %NBS | Existing Building Structural Performance | Improvement of Structural Performance | |
|------------------------|--------|----------|-------------|---|---|---|
| | | | | | Legal Requirement | NZSEE Recommendation |
| Low Risk Building | A or B | Low | Above 67 | Acceptable (improvement may be desirable) | The Building Act sets no required level of structural improvement (unless change in use) This is for each TA to decide. Improvement is not limited to 34%NBS. | 100%NBS desirable. Improvement should achieve at least 67%NBS |
| Moderate Risk Building | B or C | Moderate | 34 to 66 | Acceptable legally. Improvement recommended | | Not recommended. Acceptable only in exceptional circumstances |
| High Risk Building | D or E | High | 33 or lower | Unacceptable (Improvement | Unacceptable | Unacceptable |

■ **Figure 2: NZSEE Risk Classifications Extracted from table 2.2 of the NZSEE 2006 AISPBE Guidelines**

Table 1 below provides an indication of the risk of failure for an existing building with a given percentage NBS, relative to the risk of failure for a new building that has been designed to meet current Building Code criteria (the annual probability of exceedance specified by current earthquake design standards for a building of 'normal' importance is 1/500, or 0.2% in the next year, which is equivalent to 10% probability of exceedance in the next 50 years).



■ **Table 1: %NBS compared to relative risk of failure**

| Percentage of New Building Standard (%NBS) | Relative Risk (Approximate) |
|---|------------------------------------|
| >100 | <1 time |
| 80-100 | 1-2 times |
| 67-80 | 2-5 times |
| 33-67 | 5-10 times |
| 20-33 | 10-25 times |
| <20 | >25 times |

5. Building Details

5.1. Building description

The building is located in Cholmondeley Reserve at 102 Main Road. There is only one building on this site. The building has one storey that is currently utilised as a public toilet. The building has lightweight metal sheeting as roof cladding, supported on timber framing. There are five timber roof trusses, constructed from 100mm x 50mm rafters and 75mm x 50mm supporting members with nailplate connections. The building is constructed from lightweight timber-frame walls with stonework veneer 900mm high from the base. The rest of the structure has timber elements for the external wall cladding. Internally, the wall cladding is plasterboard. The structure is supported on a concrete ground slab. It is assumed the building was designed and constructed in the 1990's due to its architecture.

Our evaluation was based on the visual inspection carried out on 9 October 2012. Drawings were not available to verify the date of construction.

5.2. Gravity Load Resisting system

It appears that the gravity loads are taken by the timber framing in the walls with direct transfer into the concrete slab foundation below.

5.3. Seismic Load Resisting system

Lateral loads acting across and along the building will be transferred through the timber framing in the walls.

Note that for this building the 'along direction' has been taken as east-west and the 'across direction' has been taken as north-south.

6. Damage Summary

SKM undertook an inspection on 18 September 2012. The following areas of damage were observed during the time of inspection:

General

- 1) No visual evidence of settlement was noted at this site, therefore a level survey is not required at this stage of assessment.

Building Damage

- 1) Tearing of internal wall linings at joints (refer to Photo 10)
- 2) Gap opening up between internal timber cladding elements at joints (refer to Photo 11)
- 3) Cracking along the grain of external timber cladding elements. This is believed to either be due to weathering or damage that occurred during or soon after construction (refer to Photos 5, 6 & 7)
- 4) Suspected impact damage to stone veneer on the southwest corner of the building. This is not considered to be earthquake damage (refer to Photo 8)

Photos of the above damage can be found in Appendix 1 – Photos.



7. Initial Seismic Evaluation

7.1. The Initial Evaluation Procedure Process

This section covers the initial seismic evaluation of the building as detailed in the NZSEE ‘Assessment and Improvement of the Structural Performance of Buildings in Earthquakes’. The IEP grades buildings according to their likely performance in a seismic event. The procedure is not yet recognised by the NZ Building Code but is widely used and recognised by the Christchurch City Council as the preferred method for preliminary seismic investigations of buildings².

The IEP is a coarse screening process designed to identify buildings that are likely to be earthquake prone. The IEP process ranks buildings according to how well they are likely to perform relative to a new building designed to current earthquake standards, as shown in Table 2. The building rank is indicated by the percent of the required New Building Standard (%NBS) strength that the building is considered to have. Earthquake prone buildings are defined as having less than 33% NBS strength which correlates to an increased risk of approximately 20 times that of 100% NBS³. Buildings that are identified to be earthquake prone are required by law to be followed up with a detailed assessment and strengthening work within 30 years of the owner being notified that the building is potentially earthquake prone⁴.

Table 2: IEP Risk classifications

| Description | Grade | Risk | %NBS | Structural performance |
|------------------------|-------|----------|-----------|--|
| Low risk building | A+ | Low | > 100 | Acceptable. Improvement may be desirable. |
| | A | | 100 to 80 | |
| | B | | 80 to 67 | |
| Moderate risk building | C | Moderate | 67 to 33 | Acceptable legally. Improvement recommended. |
| High risk building | D | High | 33 to 20 | Unacceptable. Improvement required. |
| | E | | < 20 | |

The IEP is a simple desktop study that is useful for risk management. No detailed calculations are done and so it relies on an inspection of the building and its plans to identify the structural members and describe the likely performance of the building in a seismic event. A review of the

² <http://resources.ccc.govt.nz/files/EarthquakeProneDangerousAndInsanitaryBuildingsPolicy2010.pdf>

³ NZSEE 2006, *Assessment and Improvement of the Structural Performance of Buildings in Earthquakes*, p 2-2

⁴ <http://resources.ccc.govt.nz/files/EarthquakeProneDangerousAndInsanitaryBuildingsPolicy2010.pdf>

plans is also likely to identify any critical structural weaknesses. The IEP assumes that the building was properly designed and built according to the relevant codes at the time of construction. The IEP method rates buildings based on the code used at the time of construction and some more subjective parameters associated with how the building is detailed and so it is possible that %NBS derived from different engineers may differ.

This assessment describes only the likely seismic Ultimate Limit State (ULS) performance of the building. The ULS is the level of earthquake that can be resisted by the building without catastrophic failure. The IEP does not attempt to estimate Serviceability Limit State (SLS) performance of the building, or the level of earthquake that would start to cause damage to the building⁵. This assessment concentrates on matters relating to life safety as damage to the building is a secondary consideration. SLS performance of the building can be estimated by scaling the current code levels if required.

The NZ Building Code describes that the relevant codes for NBS are primarily:

- AS/NZS 1170 Structural Design Actions
- NZS 3101:2006 Concrete Structures Standard
- NZS 3404:1997 Steel Structures Standard

7.2. Available Information, Assumptions and Limitations

Following our inspection on 9 October, SKM carried out a preliminary structural review. The structural review was undertaken using the available information which was as follows:

- SKM site measurements and inspection findings of the building. Please note no intrusive investigations were undertaken.
- There were no drawings available to carry out our review.

The following assumptions and design criteria were used in this assessment:

- Standard design assumptions for typical office and factory buildings as described in AS/NZS1170.0:2002
 - 50 year design life, which is the default NZ Building Code design life.
 - Structure Importance Level 1. This level of importance is described as ‘low’ with small or moderate consequence of failure.
 - Ductility level of 1.25 in both directions, based on our assessment and code requirements at the time of design.

⁵ NZSEE 2006, *Assessment and Improvement of the Structural Performance of Buildings in Earthquakes*, p2-9



- Site hazard factor, $Z = 0.3$, NZBC, Clause B1 Structure, Amendment 11 effective from 1 August 2011
- Seismic subsoil Class D (deep or soft soil) ground performance and properties, in accordance with NZS1170.5

This IEP was based on our visual inspection of the building. Since it is not a full design and construction review, it has the following limitations:

- It is not likely to pick up on any original design or construction errors (if they exist)
- Other possible issues that could affect the performance of the building such as corrosion and modifications to the building will not be identified
- The IEP deals only with the structural aspects of the building. Other aspects such as building services are not covered.

7.3. Critical Structural Weaknesses

No critical structural weaknesses have been identified in this building.

7.4. Qualitative Assessment Results

The building has had its capacity assessed using the Initial Evaluation Procedure based on the information available. The buildings capacity is expressed as a percentage of new building standard (%NBS) and are in the order of that shown below in Table 3. This capacity is subject to confirmation by a quantitative analysis.

Table 3: Qualitative Assessment Summary

| <u>Item</u> | <u>%NBS</u> |
|-------------------------------------|-------------|
| Likely Seismic Capacity of Building | >100 |

Our qualitative assessment found that the building is not likely to be classed as potentially earthquake prone and is probably a 'Low Risk Building' (capacity greater than 67% of NBS). The full IEP assessment form is detailed in Appendix 2 – IEP Reports.



8. Further Investigation

No further investigation is required at this stage as the likely seismic capacity of the building is greater than 67% NBS and no structural damage was observed.

9. Conclusion

A qualitative assessment was carried out on the building located in Cholmondeley Reserve at 102 Main Road, Governors Bay. The building has sustained no earthquake-related damage. The building has been assessed to have a seismic capacity greater than 100% NBS and is therefore not a potential earthquake risk and is likely to be classified as a 'Low Risk Building' (capacity greater than 67% NBS).

No further investigation is recommended at this stage.

It is recommended that:

- a) There is no damage to the building that would cause it to be unsafe to occupy.
- b) We consider that barriers around the building are not necessary.



10. Limitation Statement

This report has been prepared on behalf of, and for the exclusive use of, SKM's client, and is subject to, and issued in accordance with, the provisions of the contract between SKM and the Client. It is not possible to make a proper assessment of this report without a clear understanding of the terms of engagement under which it has been prepared, including the scope of the instructions and directions given to, and the assumptions made by, SKM. The report may not address issues which would need to be considered for another party if that party's particular circumstances, requirements and experience were known and, further, may make assumptions about matters of which a third party is not aware. No responsibility or liability to any third party is accepted for any loss or damage whatsoever arising out of the use of or reliance on this report by any third party.

Without limiting any of the above, in the event of any liability, SKM's liability, whether under the law of contract, tort, statute, equity or otherwise, is limited in as set out in the terms of the engagement with the Client.

It is not within SKM's scope or responsibility to identify the presence of asbestos, nor the responsibility of SKM to identify possible sources of asbestos. Therefore for any property pre-dating 1989, the presence of asbestos materials should be considered when costing remedial measures or possible demolition.

There is a risk of further movement and increased cracking due to subsequent aftershocks or settlement.

Should there be any further significant earthquake event, of a magnitude 5 or greater, it will be necessary to conduct a follow-up investigation, as the observations, conclusions and recommendations of this report may no longer apply. Earthquake of a lower magnitude may also cause damage, and SKM should be advised immediately if further damage is visible or suspected.

11. Appendix 1 – Photos



Photo 1: East elevation



Photo 2: South elevation



Photo 3: West elevation



Photo 4: North elevation



Photo 5: Cracking along the grain of external timber wall cladding elements



Photo 6: Cracking along the grain of external timber wall cladding elements



Photo 7: Cracking along the grain of external timber wall cladding elements



Photo 8: Suspected impact damage to stonework veneer on the southwest corner of the building



Photo 9: Internal layout of bathroom



Photo 10: Tearing of internal wall layout at joints (typical)



Photo 11: Gap opening up between internal timber cladding elements on the southern side of the building



Photo 12: Timber roof trusses



12. Appendix 2 – IEP Reports

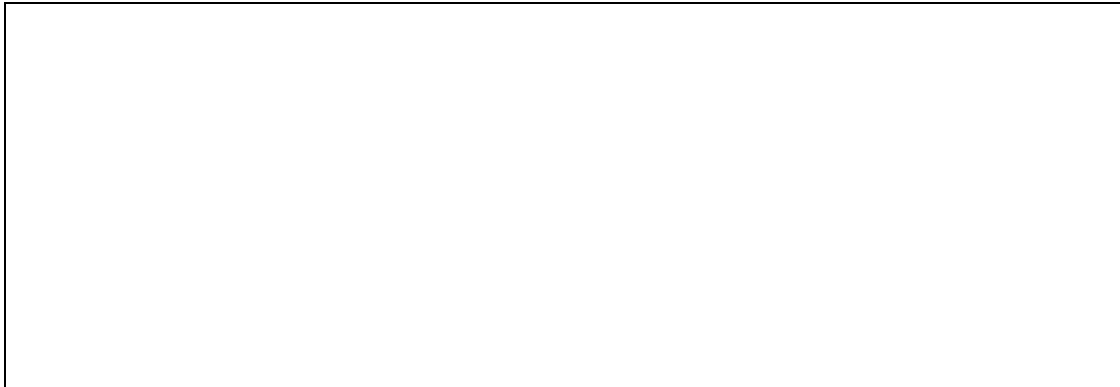
| | | | |
|----------------|-------------------------------------|------|--------------------|
| Building Name: | <u>Cholmondeley Reserve Toilets</u> | Ref. | <u>ZB01276.212</u> |
| Location: | <u>102 Main Road, Governors Bay</u> | By | <u>WPK</u> |
| | | Date | <u>16/10/2012</u> |

Step 1 - General Information

1.1 Photos (attach sufficient to describe building)



1.2 Sketch of building plan



1.3 List relevant features

The building in Cholmondeley Reserve at 102 Main Road is one storey and is currently used as a public toilet. The building has lightweight meeting sheeting as roof cladding, supported on timber framing. The building has timber-framed walls with stone veneer 900mm high from the base and a concrete floor slab. The main lateral load-resisting system appear to be the timber framing in the walls. The building is assumed to have been constructed in the 1990's due to its architecture.

1.4 Note information sources

- Visual Inspection of Exterior
- Visual Inspection of Interior
- Drawings (note type)
- Specifications
- Geotechnical Reports
- Other (list)

Tick as appropriate

| |
|-------------------------------------|
| <input checked="" type="checkbox"/> |
| <input checked="" type="checkbox"/> |
| <input type="checkbox"/> |
| <input type="checkbox"/> |
| <input type="checkbox"/> |
| <input type="checkbox"/> |

Table IEP-2 Initial Evaluation Procedure – Step 2

(Refer Table IEP - 1 for Step 1; Table IEP - 3 for Step 3, Table IEP - 4 for Steps 4, 5 and 6)

| | | | |
|--|--------------------------------------|------|-------------|
| Building Name: | Cholmondeley Reserve Toilets | Ref. | ZB01276.212 |
| Location: | 102 Main Road, Governors Bay | By | WPK |
| Direction Considered: | Longitudinal & Transverse | Date | 16/10/2012 |
| (Choose worse case if clear at start. Complete IEP-2 and IEP-3 for each if in doubt) | | | |

Step 2 - Determination of (%NBS)b

2.1 Determine nominal (%NBS) = (%NBS)nom

| | | | | |
|-----------|---------------|---|----------------------------------|---------------------|
| Pre 1935 | Seismic Zone; | A | <input type="radio"/> | See also notes 1, 3 |
| 1935-1965 | | B | <input type="radio"/> | |
| 1965-1976 | | C | <input type="radio"/> | |
| 1976-1992 | Seismic Zone; | A | <input type="radio"/> | See also note 2 |
| | | B | <input type="radio"/> | |
| | | C | <input type="radio"/> | |
| 1992-2004 | | | <input checked="" type="radio"/> | |

b) Soil Type

| | | |
|-------------------------------|------------------|----------------------------------|
| From NZS1170.5:2004, Cl 3.1.3 | A or B Rock | <input type="radio"/> |
| | C Shallow Soil | <input type="radio"/> |
| | D Soft Soil | <input checked="" type="radio"/> |
| | E Very Soft Soil | <input type="radio"/> |

| | | | |
|---|-----------------|----------------------------------|-----|
| From NZS4203:1992, Cl 4.6.2.2 | a) Rigid | <input checked="" type="radio"/> | N-A |
| (for 1992 to 2004 only and only if known) | b) Intermediate | <input type="radio"/> | |

c) Estimate Period, T

building Ht = 3.2 meters

Can use following:

| | |
|--------------------------------|---------------------------------------|
| $T = 0.09h_n^{0.75}$ | for moment-resisting concrete frames |
| $T = 0.14h_n^{0.75}$ | for moment-resisting steel frames |
| $T = 0.08h_n^{0.75}$ | for eccentrically braced steel frames |
| $T = 0.06h_n^{0.75}$ | for all other frame structures |
| $T = 0.09h_n^{0.75}/A_c^{0.5}$ | for concrete shear walls |
| $T \leq 0.4\text{sec}$ | for masonry shear walls |

| Ac = | Longitudinal | Transverse | m2 |
|------|---|---|----|
| | N/A | N/A | |
| | <input type="radio"/> MRCF | <input type="radio"/> MRCF | |
| | <input type="radio"/> MRSF | <input type="radio"/> MRSF | |
| | <input type="radio"/> EBSF | <input type="radio"/> EBSF | |
| | <input checked="" type="radio"/> Others | <input checked="" type="radio"/> Others | |
| | <input type="radio"/> CSW | <input type="radio"/> CSW | |
| | <input type="radio"/> MSW | <input type="radio"/> MSW | |

Where h_n = height in m from the base of the structure to the uppermost seismic weight or mass.
 $A_c = \sum A_i(0.2 + L_{wi}/h_n)^2$
 A_i = cross-sectional shear area of shear wall i in the first storey of the building, in m^2
 L_{wi} = length of shear wall i in the first storey in the direction parallel to the applied forces, in m
 with the restriction that L_{wi}/h_n shall not exceed 0.9

| Longitudinal | Transverse | Seconds |
|--------------|------------|---------|
| 0.1 | 0.1 | |

d) (%NBS)nom determined from Figure 3.3

| Longitudinal | 22.2 | (%NBS)nom |
|--------------|------|-----------|
| Transverse | 22.2 | (%NBS)nom |

| | | | |
|--|----|--------|---|
| Note 1: For buildings designed prior to 1965 and known to be designed as public buildings in accordance with the code of the time, multiply (%NBS)nom by 1.25. | No | Factor | 1 |
| For buildings designed 1965 - 1976 and known to be designed as public buildings in accordance with the code of the time, multiply (%NBS)nom by 1.33 - Zone A or 1.2 - Zone B | No | | 1 |
| Note 2: For reinforced concrete buildings designed between 1976 -1984 (%NBS)nom by 1.2 | No | | 1 |
| Note 3: For buildings designed prior to 1935 multiply (%NBS)nom by 0.8 except for Wellington where the factor may be taken as 1. | No | | 1 |

| Longitudinal | 22.2 | (%NBS)nom |
|--------------|------|-----------|
| Transverse | 22.2 | (%NBS)nom |

Continued over page

| | | | |
|--|--------------------------------------|------|-------------|
| Building Name: | Cholmondeley Reserve Toilets | Ref. | ZB01276.212 |
| Location: | 102 Main Road, Governors Bay | By | WPK |
| Direction Considered: | Longitudinal & Transverse | Date | 16/10/2012 |
| (Choose worse case if clear at start. Complete IEP-2 and IEP-3 for each if in doubt) | | | |

2.2 Near Fault Scaling Factor, Factor A
If T < 1.5sec, Factor A = 1

a) Near Fault Factor, N(T,D) 1
(from NZS1170.5:2004, Cl 3.1.6)

b) Near Fault Scaling Factor = 1/N(T,D)

| | |
|----------|------|
| Factor A | 1.00 |
|----------|------|

2.3 Hazard Scaling Factor, Factor B

Select Location

a) Hazard Factor, Z, for site
(from NZS1170.5:2004, Table 3.3)

Z = 0.3
Z 1992 = 0.8 Auckland 0.6 Palm Nth 1.2
Type Z 1992 above Wellington 1.2 Dunedin 0.6
Christchurch 0.8 Hamilton 0.67

b) Hazard Scaling Factor
For pre 1992 = 1/Z
For 1992 onwards = Z 1992/Z
(Where Z 1992 is the NZS4203:1992 Zone Factor from accompanying Figure 3.5(b))

| | |
|----------|------|
| Factor B | 2.67 |
|----------|------|

2.4 Return Period Scaling Factor, Factor C

a) Building Importance Level
(from NZS1170.0:2004, Table 3.1 and 3.2)

b) Return Period Scaling Factor from accompanying Table 3.1

| | |
|----------|------|
| Factor C | 2.00 |
|----------|------|

2.5 Ductility Scaling Factor, D

a) Assessed Ductility of Existing Structure, μ
(shall be less than maximum given in accompanying Table 3.2)

| | | |
|--------------|------|-------------------|
| Longitudinal | 1.25 | μ Maximum = 6 |
| Transverse | 1.25 | μ Maximum = 6 |

b) Ductility Scaling Factor
For pre 1976 = k_{μ}
For 1976 onwards = 1
(where k_{μ} is NZS1170.5:2005 Ductility Factor, from accompanying Table 3.3)

| | | |
|--------------|----------|------|
| Longitudinal | Factor D | 1.00 |
| Transverse | Factor D | 1.00 |

2.6 Structural Performance Scaling Factor, Factor E

Select Material of Lateral Load Resisting System
Longitudinal
Transverse

a) Structural Performance Factor, S_p
from accompanying Figure 3.4

| | | |
|--------------|-------|------|
| Longitudinal | S_p | 0.93 |
| Transverse | S_p | 0.93 |

b) Structural Performance Scaling Factor

| | | | |
|--------------|----------|----------|------|
| Longitudinal | 1/ S_p | Factor E | 1.08 |
| Transverse | 1/ S_p | Factor E | 1.08 |

2.7 Baseline %NBS for Building, (%NBS)_b
(equals (%NSB)_{nom} x A x B x C x D x E)

| | | |
|--------------|-------|---------------------|
| Longitudinal | 128.0 | (%NBS) _b |
| Transverse | 128.0 | (%NBS) _b |

Table IEP-3 Initial Evaluation Procedure – Step 3

(Refer Table IEP - 1 for Step 1; Table IEP - 2 for Step 2, Table IEP - 4 for Steps 4, 5 and 6)

| | |
|--|-------------------------|
| Building Name: <u>Cholmondeley Reserve Toilets</u> | Ref. <u>ZB01276.212</u> |
| Location: <u>102 Main Road, Governors Bay</u> | By <u>WPK</u> |
| Direction Considered: a) Longitudinal | Date <u>16/10/2012</u> |
| (Choose worse case if clear at start. Complete IEP-2 and IEP-3 for each if in doubt) | |

Step 3 - Assessment of Performance Achievement Ratio (PAR)
(Refer Appendix B - Section B3.2)

Critical Structural Weakness

Effect on Structural Performance
(Choose a value - Do not interpolate)

Building Score

3.1 Plan Irregularity

Effect on Structural Performance
Comment

| | | |
|-----------------------|-----------------------|----------------------------------|
| Severe | Significant | Insignificant |
| <input type="radio"/> | <input type="radio"/> | <input checked="" type="radio"/> |
| | | |

Factor A

3.2 Vertical Irregularity

Effect on Structural Performance
Comment

| | | |
|-----------------------|-----------------------|----------------------------------|
| Severe | Significant | Insignificant |
| <input type="radio"/> | <input type="radio"/> | <input checked="" type="radio"/> |
| | | |

Factor B

3.3 Short Columns

Effect on Structural Performance
Comment

| | | |
|-----------------------|-----------------------|----------------------------------|
| Severe | Significant | Insignificant |
| <input type="radio"/> | <input type="radio"/> | <input checked="" type="radio"/> |
| | | |

Factor C

3.4 Pounding Potential

(Estimate D1 and D2 and set D = the lower of the two, or =1.0 if no potential for pounding)

a) Factor D1: - Pounding Effect
Select appropriate value from Table

Note:
Values given assume the building has a frame structure. For stiff buildings (eg with shear walls), the effect of pounding may be reduced by taking the co-efficient to the right of the value applicable to frame buildings.

| | | | | |
|---|--|---|---------------------------|------------------------------------|
| | | Factor D1 <input type="text" value="1"/> | | |
| Table for Selection of Factor D1 | | Severe | Significant | Insignificant |
| Separation | | 0<Sep<.005H | .005<Sep<.01H | Sep>.01H |
| Alignment of Floors within 20% of Storey Height | | <input type="radio"/> 0.7 | <input type="radio"/> 0.8 | <input checked="" type="radio"/> 1 |
| Alignment of Floors not within 20% of Storey Height | | <input type="radio"/> 0.4 | <input type="radio"/> 0.7 | <input type="radio"/> 0.8 |

b) Factor D2: - Height Difference Effect
Select appropriate value from Table

| | | | | |
|----------------------------------|--|---|---------------------------|------------------------------------|
| | | Factor D2 <input type="text" value="1"/> | | |
| Table for Selection of Factor D2 | | Severe | Significant | Insignificant |
| Separation | | 0<Sep<.005H | .005<Sep<.01H | Sep>.01H |
| Height Difference > 4 Storeys | | <input type="radio"/> 0.4 | <input type="radio"/> 0.7 | <input type="radio"/> 1 |
| Height Difference 2 to 4 Storeys | | <input type="radio"/> 0.7 | <input type="radio"/> 0.9 | <input type="radio"/> 1 |
| Height Difference < 2 Storeys | | <input type="radio"/> 1 | <input type="radio"/> 1 | <input checked="" type="radio"/> 1 |

Factor D

(Set D = lesser of D1 and D2 or..
set D = 1.0 if no prospect of pounding)

3.5 Site Characteristics - (Stability, landslide threat, liquefaction etc)

Effect on Structural Performance

| | | |
|---------------------------|---------------------------|------------------------------------|
| Severe | Significant | Insignificant |
| <input type="radio"/> 0.5 | <input type="radio"/> 0.7 | <input checked="" type="radio"/> 1 |
| | | |

Factor E

3.6 Other Factors

For < 3 storeys - Maximum value 2.5,

otherwise - Maximum value 1.5. No minimum.

Factor F

Record rationale for choice of Factor F:

Small, lightweight structure with low consequence of failure. F factor not greater than 1 as %NBS is already greater than 100.

3.7 Performance Achievement Ratio (PAR)
(equals A x B x C x D x E x F)

PAR

| | | | |
|--|------------------------------|------|-------------|
| Building Name: | Cholmondeley Reserve Toilets | Ref. | ZB01276.212 |
| Location: | 102 Main Road, Governors Bay | By | WPK |
| Direction Considered: | b) Transverse | Date | 16/10/2012 |
| (Choose worse case if clear at start. Complete IEP-2 and IEP-3 for each if in doubt) | | | |

Step 3 - Assessment of Performance Achievement Ratio (PAR)
(Refer Appendix B - Section B3.2)

Critical Structural Weakness

Effect on Structural Performance
(Choose a value - Do not interpolate)

Building Score

3.1 Plan Irregularity

Effect on Structural Performance

Comment

| | | |
|-----------------------|-----------------------|----------------------------------|
| Severe | Significant | Insignificant |
| <input type="radio"/> | <input type="radio"/> | <input checked="" type="radio"/> |
| | | |

Factor A

3.2 Vertical Irregularity

Effect on Structural Performance

Comment

| | | |
|-----------------------|-----------------------|----------------------------------|
| Severe | Significant | Insignificant |
| <input type="radio"/> | <input type="radio"/> | <input checked="" type="radio"/> |
| | | |

Factor B

3.3 Short Columns

Effect on Structural Performance

Comment

| | | |
|-----------------------|-----------------------|----------------------------------|
| Severe | Significant | Insignificant |
| <input type="radio"/> | <input type="radio"/> | <input checked="" type="radio"/> |
| | | |

Factor C

3.4 Pounding Potential

(Estimate D1 and D2 and set D = the lower of the two, or =1.0 if no potential for pounding)

a) Factor D1: - Pounding Effect

Select appropriate value from Table

Note:

Values given assume the building has a frame structure. For stiff buildings (eg with shear walls), the effect of pounding may be reduced by taking the co-efficient to the right of the value applicable to frame buildings.

Factor D1

Table for Selection of Factor D1

| Separation | Factor D1 | | |
|---|---------------------------|---------------------------|------------------------------------|
| | Severe | Significant | Insignificant |
| | 0<Sep<.005H | .005<Sep<.01H | Sep>.01H |
| Alignment of Floors within 20% of Storey Height | <input type="radio"/> 0.7 | <input type="radio"/> 0.8 | <input checked="" type="radio"/> 1 |
| Alignment of Floors not within 20% of Storey Height | <input type="radio"/> 0.4 | <input type="radio"/> 0.7 | <input type="radio"/> 0.8 |

b) Factor D2: - Height Difference Effect

Select appropriate value from Table

Factor D2

Table for Selection of Factor D2

| Separation | Factor D2 | | |
|----------------------------------|---------------------------|---------------------------|------------------------------------|
| | Severe | Significant | Insignificant |
| | 0<Sep<.005H | .005<Sep<.01H | Sep>.01H |
| Height Difference > 4 Storeys | <input type="radio"/> 0.4 | <input type="radio"/> 0.7 | <input type="radio"/> 1 |
| Height Difference 2 to 4 Storeys | <input type="radio"/> 0.7 | <input type="radio"/> 0.9 | <input type="radio"/> 1 |
| Height Difference < 2 Storeys | <input type="radio"/> 1 | <input type="radio"/> 1 | <input checked="" type="radio"/> 1 |

Factor D

(Set D = lesser of D1 and D2 or..
set D = 1.0 if no prospect of pounding)

3.5 Site Characteristics - (Stability, landslide threat, liquefaction etc)

Effect on Structural Performance

| | | |
|---------------------------|---------------------------|------------------------------------|
| Severe | Significant | Insignificant |
| <input type="radio"/> 0.5 | <input type="radio"/> 0.7 | <input checked="" type="radio"/> 1 |
| | | |

Factor E

3.6 Other Factors

For < 3 storeys - Maximum value 2.5,

otherwise - Maximum value 1.5. No minimum.

Factor F

Record rationale for choice of Factor F:

Small, lightweight structure with low consequence of failure. F factor not greater than 1 as %NBS is already greater than 100.

3.7 Performance Achievement Ratio (PAR)
(equals A x B x C x D x E x F)

PAR

| | | | |
|--|--------------------------------------|------|--------------------|
| Building Name: | <u>Cholmondeley Reserve Toilets</u> | Ref. | <u>ZB01276.212</u> |
| Location: | <u>102 Main Road, Governors Bay</u> | By | <u>WPK</u> |
| Direction Considered: | Longitudinal & Transverse | | Date |
| (Choose worse case if clear at start. Complete IEP-2 and IEP-3 for each if in doubt) | | | |

Step 4 - Percentage of New Building Standard (%NBS)

| | Longitudinal | Transverse |
|---|--------------|-------------|
| 4.1 Assessed Baseline (%NBS)_b (from Table IEP - 1) | 128 | 128 |
| 4.2 Performance Achievement Ratio (PAR) (from Table IEP - 2) | 1.00 | 1.00 |
| 4.3 PAR x Baseline (%NBS)_b | 128 | 128 |
| 4.4 Percentage New Building Standard (%NBS) (Use lower of two values from Step 4.3) | | 128 |

Step 5 - Potentially Earthquake Prone?
(Mark as appropriate)

%NBS ≤ 33

Step 6 - Potentially Earthquake Risk?

%NBS < 67

Step 7 - Provisional Grading for Seismic Risk based on IEP

Seismic Grade

Evaluation Confirmed by



Signature

Nick Calvert

Name

242062

CPEng. No

Relationship between Seismic Grade and % NBS :

| Grade: | A+ | A | B | C | D | E |
|--------|-------|-----------|----------|----------|----------|------|
| %NBS: | > 100 | 100 to 80 | 80 to 67 | 67 to 33 | 33 to 20 | < 20 |



13. Appendix 3 – CERA Standardised Report Form

| | | | |
|---|----------------|---|---|
| Location | | Building Name: Cholmondeley Reserve Toilets | Reviewer: Nick Calvert |
| Building Address: _____ | Unit No: _____ | Street: 1021 Main Road, Governors Bay | CPEng No: 242062 |
| Legal Description: _____ | | | Company: SKM |
| | | | Company project number: ZB01276.212 |
| | | | Company phone number: 09 928 5500 |
| | | Degrees Min Sec | Date of submission: 24-May |
| GPS south: _____ | | | Inspection Date: 9/10/2012 |
| GPS east: _____ | | | Revision: B |
| Building Unique Identifier (CCC): PRK 3563 BLDG 001 | | | Is there a full report with this summary? yes |

| | | |
|--|------------------------------|--|
| Site | Site slope: flat | Max retaining height (m): _____ |
| | Soil type: _____ | Soil Profile (if available): _____ |
| | Site Class (to NZS1170.5): D | |
| Proximity to waterway (m, if <100m): _____ | | If Ground improvement on site, describe: _____ |
| Proximity to cliff top (m, if < 100m): _____ | | |
| Proximity to cliff base (m,if <100m): _____ | | Approx site elevation (m): _____ |

| | | | |
|-----------------|--------------------------------------|---|--|
| Building | No. of storeys above ground: 1 | single storey = 1 | Ground floor elevation (Absolute) (m): _____ |
| | Ground floor split? no | | Ground floor elevation above ground (m): _____ |
| | Storeys below ground: 0 | | |
| | Foundation type: mat slab | | if Foundation type is other, describe: _____ |
| | Building height (m): 3.20 | height from ground to level of uppermost seismic mass (for IEP only) (m): 3.2 | |
| | Floor footprint area (approx): 10 | | Date of design: 1992-2004 |
| | Age of Building (years): 15 | | |
| | Strengthening present? no | | If so, when (year)? _____ |
| | Use (ground floor): public | | And what load level (%g)? _____ |
| | Use (upper floors): _____ | | Brief strengthening description: _____ |
| | Use notes (if required): _____ | | |
| | Importance level (to NZS1170.5): IL1 | | |

| | | |
|--------------------------|------------------------------|--|
| Gravity Structure | Gravity System: frame system | |
| | Roof: timber framed | rafter type, purlin type and cladding in truss |
| | Floors: concrete flat slab | slab thickness (mm) Unknown |
| | Beams: timber | type Unknown |
| | Columns: timber | typical dimensions (mm x mm) Unknown |
| | Walls: non-load bearing | 0 |

| | | | |
|---|--|--|------------------------------------|
| Lateral load resisting structure | Lateral system along: lightweight timber framed walls | Note: Define along and across in detailed report! | note typical wall length (m) 3.6 |
| | Ductility assumed, μ: 1.25 | | estimate or calculation? estimated |
| | Period along: 0.10 | | estimate or calculation? estimated |
| | Total deflection (ULS) (mm): 10 | | estimate or calculation? estimated |
| | maximum interstorey deflection (ULS) (mm): _____ | | |
| | Lateral system across: lightweight timber framed walls | | note typical wall length (m) 2.6 |
| | Ductility assumed, μ: 1.25 | | estimate or calculation? estimated |
| | Period across: 0.10 | | estimate or calculation? estimated |
| | Total deflection (ULS) (mm): 10 | | estimate or calculation? estimated |
| | maximum interstorey deflection (ULS) (mm): _____ | | |

| | | |
|---------------------|-------------------|-----------------------------|
| Separations: | north (mm): _____ | leave blank if not relevant |
| | east (mm): _____ | |
| | south (mm): _____ | |
| | west (mm): _____ | |

| | | |
|--------------------------------|-------------------------------|--|
| Non-structural elements | Stairs: _____ | |
| | Wall cladding: plaster system | describe Plasterboard |
| | Roof Cladding: Metal | describe Lightweight corrugated sheeting |
| | Glazing: _____ | |
| | Ceilings: _____ | |
| | Services(list): _____ | |

| | | |
|--------------------------------|----------------------|------------------------------------|
| Available documentation | Architectural: none | original designer name/date: _____ |
| | Structural: none | original designer name/date: _____ |
| | Mechanical: none | original designer name/date: _____ |
| | Electrical: none | original designer name/date: _____ |
| | Geotech report: none | original designer name/date: _____ |

| | | |
|-----------------------------|--|-------------------------------------|
| Damage | Site performance: _____ | Describe damage: No damage observed |
| Site: (refer DEE Table 4-2) | Settlement: none observed | notes (if applicable): _____ |
| | Differential settlement: none observed | notes (if applicable): _____ |
| | Liquefaction: none apparent | notes (if applicable): _____ |
| | Lateral Spread: none apparent | notes (if applicable): _____ |
| | Differential lateral spread: none apparent | notes (if applicable): _____ |
| | Ground cracks: none apparent | notes (if applicable): _____ |
| | Damage to area: none apparent | notes (if applicable): _____ |

| | | |
|------------------|---|---|
| Building: | Current Placard Status: green | |
| Along | Damage ratio: 0% | Describe how damage ratio arrived at: _____ |
| | Describe (summary): Tearing of wall linings at joints | |
| Across | Damage ratio: 0% | |
| | Describe (summary): Tearing of wall linings at joints | |
| Diaphragms | Damage?: no | Describe: _____ |
| CSWs: | Damage?: no | Describe: _____ |
| Pounding: | Damage?: no | Describe: _____ |
| Non-structural: | Damage?: yes | Describe: Tearing of wall linings at joints |

| | | |
|------------------------|--|--|
| Recommendations | Level of repair/strengthening required: minor non-structural | Describe: _____ |
| | Building Consent required: no | Describe: _____ |
| | Interim occupancy recommendations: full occupancy | Describe: _____ |
| Along | Assessed %NBS before: 100% | %NBS from IEP below |
| | Assessed %NBS after: 100% | If IEP not used, please detail assessment methodology: _____ |
| Across | Assessed %NBS before: 100% | %NBS from IEP below |
| | Assessed %NBS after: 100% | Qualitative Assessment carried out includes NZSEE IEP (refer to SKM report). |